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# HEEGNER POINTS AND EXCEPTIONAL ZEROS OF GARRETT $p$ -ADIC $L$ -FUNCTIONS

by

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**Abstract.** — This article proves a case of the  $p$ -adic Birch and Swinnerton-Dyer conjecture for Garrett  $p$ -adic  $L$ -functions of [BSV21c], in the imaginary dihedral exceptional zero setting of extended analytic rank 4.

## 1. Statement of the main result

Let  $A$  be an elliptic curve defined over the field  $\mathbf{Q}$  of rational numbers, having multiplicative reduction at a rational prime  $p > 3$ . Let  $K$  be a quadratic imaginary field of discriminant  $d_K$  coprime to the conductor  $N_A$  of  $A$ , and let

$$\nu_g : G_K \longrightarrow \bar{\mathbf{Q}}^* \quad \text{and} \quad \nu_h : G_K \longrightarrow \bar{\mathbf{Q}}^*$$

be finite order characters of the absolute Galois group  $G_K = \text{Gal}(\bar{\mathbf{Q}}/K)$  of  $K$ , where  $\bar{\mathbf{Q}}$  is the field of algebraic complex numbers. Write  $N_A = N_A^+ \cdot N_A^-$ , where each prime divisor of  $N_A^+$  (resp.,  $N_A^-$ ) splits (resp., is inert) in  $K$ . We make the following

**Assumption 1.1.** —

1. (*Heegner assumption*) The prime  $p$  is inert in  $K$  (it does not divide  $N_A^-$ ) and  $N_A^-$  is a square-free product of an even number of primes.
2. (*Self-duality*) The central characters of  $\nu_g$  and  $\nu_h$  are inverse to each other.
3. (*Cuspidality*) The characters  $\nu_g$  and  $\nu_h$  are not induced by Dirichlet characters.
4. (*Local signs*) The conductors of  $\nu_g$  and  $\nu_h$  are coprime to  $d_K \cdot N_A$ .

Let  $f = \sum_{n \geq 1} a_n(f) \cdot q^n$  in  $S_2(\Gamma_0(N_f))$  be the newform of conductor  $N_f = N_A$  attached to  $A$  by the modularity theorem. For  $\nu_\xi = \nu_g, \nu_h$ , let  $\varrho_\xi : G_{\mathbf{Q}} \longrightarrow \text{GL}_2(\mathbf{C})$  be the odd irreducible (cf. Assumption 1.1.(3)) Artin representation of  $G_{\mathbf{Q}}$  induced by  $\nu_\xi$ , corresponding by modularity to the cuspidal weight one theta series

$$\xi = \sum_{(\mathfrak{a}, \mathfrak{f}_\xi) = \mathcal{O}_K} \nu_\xi(\mathfrak{a}) \cdot q^{N\mathfrak{a}} \in S_1(N_\xi, \chi_\xi).$$

Here  $\mathfrak{a}$  runs the set of non-zero ideals of  $\mathcal{O}_K$  coprime to the conductor  $\mathfrak{f}_\xi$  of  $\nu_\xi$ ,  $\mathbf{N}\mathfrak{a} = |\mathcal{O}_K/\mathfrak{a}|$ ,  $N_\xi = d_K \cdot \mathbf{N}\mathfrak{f}_\xi$  and  $\chi_\xi = \varepsilon_K \cdot \nu_\xi^{\text{cen}}$ , where  $\varepsilon_K : (\mathbf{Z}/d_K\mathbf{Z})^* \rightarrow \mu_2$  is the quadratic character of  $K$  and  $\nu_\xi^{\text{cen}} : G_{\mathbf{Q}} \rightarrow \bar{\mathbf{Q}}^*$  is the central character of  $\nu_\xi$ . Since  $p$  is inert in  $K$  by Assumption 1.1.(1), the  $p$ -th Hecke polynomial of  $\xi$  equals  $X^2 + \chi_\xi(p)$  (id est the  $p$ -th Fourier coefficient of  $\xi$  is equal to zero). In addition  $\chi_\xi(p)$  is non-zero by Assumption 1.1.(4), hence  $X^2 + \chi_\xi(p) = (X - \alpha_\xi) \cdot (X - \beta_\xi)$  has distinct roots  $\alpha_\xi$  and  $\beta_\xi = -\alpha_\xi$ . According to Assumption 1.1.(2) one has  $\alpha_g \cdot \alpha_h = \beta_g \cdot \beta_h = \pm 1$  and  $\alpha_g \cdot \beta_h = \beta_g \cdot \alpha_h = -\alpha_g \cdot \alpha_h$ , hence we can, and will, assume

$$(1) \quad \alpha_f = \alpha_g \cdot \alpha_h = \beta_g \cdot \beta_h \quad \text{and} \quad -\alpha_f = \beta_g \cdot \alpha_h = \alpha_g \cdot \beta_h$$

by reordering the roots  $(\alpha_\xi, \beta_\xi)$  of  $X^2 + \chi_\xi(p)$  if necessary, where  $\alpha_f = a_p(f) = \pm 1$ .

Fix an algebraic closure  $\bar{\mathbf{Q}}_p$  of  $\mathbf{Q}_p$ , an embedding  $i_p : \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_p$ , and a finite extension  $L$  of  $\mathbf{Q}_p$  containing (the images under  $i_p$  of) the values of  $\nu_\xi$  and  $\alpha_\xi$ , for  $\xi = g, h$ . Denote by  $\xi_\alpha$  in  $S_1(pN_\xi, \chi_\xi)$  the  $p$ -stabilisation of  $\xi$  with  $U_p$ -eigenvalue  $\alpha_\xi$ . According to [Hid86, BD16], there exist unique Hida families

$$\mathbf{f} = \sum_{n \geq 1} a_n(\mathbf{f}) \cdot q^n \in \mathcal{O}_{\mathbf{f}}[[q]] \quad \text{and} \quad \xi_\alpha = \sum_{n \geq 1} a_n(\xi_\alpha) \cdot q^n \in \mathcal{O}_{\xi_\alpha}$$

specialising to  $f = \mathbf{f}_2$  and  $\xi_\alpha = \xi_{\alpha,1}$  in weights two and one respectively. Here  $\mathcal{O}_{\mathbf{f}}$  is the ring of bounded analytic functions on a (small) connected open disc  $U_{\mathbf{f}}$  centred at 2 in the weight space  $\mathcal{W} = \text{Hom}_{\text{cont}}(\mathbf{Z}_p^*, \mathbf{C}_p^*)$  over  $\mathbf{Q}_p$ . For each  $k$  in  $U_{\mathbf{f}} \cap \mathbf{Z}_{\geq 4}$ , the weight- $k$  specialisation  $\mathbf{f}_k$  of  $\mathbf{f}$  is the ordinary  $p$ -stabilisation of a  $p$ -ordinary newform  $f_k$  of weight  $k$  and level  $\Gamma_0(N_{\mathbf{f}}/p)$ . Similarly  $\mathcal{O}_{\xi_\alpha}$  is the ring of bounded analytic functions on a connected open disc  $U_{\xi_\alpha}$  centred at 1 in  $\mathcal{W}_L = \mathcal{W} \otimes_{\mathbf{Q}_p} L$ , and  $\xi_{\alpha,u}$  is the  $p$ -stabilisation of a newform  $\xi_u$  of weight  $u$  and level  $\Gamma_1(N_\xi)$  for each  $l$  in  $U_{\xi_\alpha} \cap \mathbf{Z}_{\geq 1}$ , with  $\xi_1 = \xi$ . In order to lighten the notation, we write  $U_\xi = U_{\xi_\alpha}$  and  $\mathcal{O}_\xi = \mathcal{O}_{\xi_\alpha}$ .

Set  $\varrho = \varrho_g \otimes \varrho_h$  and  $\mathcal{O}_{\mathbf{f}gh} = \hat{\mathcal{O}}_{\mathbf{f}} \hat{\otimes}_{\mathbf{Q}_p} \hat{\mathcal{O}}_g \hat{\otimes}_L \hat{\mathcal{O}}_h$ . Under Assumption 1.1, Theorem A of [Hsi21] associates with  $(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha)$  a Garrett–Hida square root  $p$ -adic  $L$ -function

$$\mathcal{L}_p^{\alpha\alpha}(A, \varrho) = \mathcal{L}_p(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha) \in \mathcal{O}_{\mathbf{f}gh}$$

(denoted  $\mathcal{L}_{\mathbf{F}}^{\mathbf{f}}$  in loc. cit., where  $\mathbf{F} = (\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha)$ ), whose square

$$L_p^{\alpha\alpha}(A, \varrho) = L_p(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha) = \mathcal{L}_p(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha)^2$$

interpolates the central critical values  $L(f_k \otimes g_l \otimes h_m, (k+l+m-2)/2)$  of the Garrett  $L$ -functions attached to  $(f_k, g_l, h_m)$  for classical triples  $(k, l, m)$  in the  $f$ -unbalanced region, viz. triples  $(k, l, m)$  in  $U_{\mathbf{f}} \times U_g \times U_h \cap \mathbf{Z}_{\geq 1}^3$  satisfying  $k \geq l + m$ . The first equality in (1) implies that  $L_p^{\alpha\alpha}(A, \varrho)$  has an exceptional zero in the sense of [MTT86] at the “Birch and Swinnerton-Dyer point”  $w_o = (2, 1, 1)$  (cf. [BSV21d, Section 1.2]).

Fix a number field  $\mathbf{Q}(\varrho)$  containing the values of  $\nu_g$  and  $\nu_h$ , and for  $\xi = g, h$  fix a  $\mathbf{Q}(\varrho)[G_{\mathbf{Q}}]$ -module  $V_\xi$ , two-dimensional over  $\mathbf{Q}(\varrho)$ , affording the Artin representation  $\varrho_\xi$ . Define  $A(K_\varrho)^\varrho = H^0(\text{Gal}(K_\varrho/\mathbf{Q}), A(K_\varrho) \otimes_{\mathbf{Z}} V_{gh})$ , where  $V_{gh} = V_g \otimes_{\mathbf{Q}(\varrho)} V_h$  and  $K_\varrho$  is the number field cut-out by  $\varrho = \varrho_g \otimes \varrho_h$ . Following [MTT86] one exploits Tate’s  $p$ -adic uniformisation to define an extended Mordell–Weil group

$$A^\dagger(K_\varrho)^\varrho = A(K_\varrho)^\varrho \oplus \mathcal{Q}_p(A, \varrho),$$

where  $\mathcal{Q}_p(A, \varrho)$  is a two-dimensional  $\mathbf{Q}(\varrho)$ -vector space depending only on the base change of  $A$  to  $\mathbf{Q}_p$  and on the restriction of  $V_{gh}$  to  $G_{\mathbf{Q}_p}$  (cf. Section 2.1.3 below). Moreover, Section 2 of [BSV21c] constructs a *Garrett–Nekovář* height-pairing

$$\langle\langle \cdot, \cdot \rangle\rangle_{\mathbf{f}g_\alpha h_\alpha} : A^\dagger(K_\varrho)^\varrho \otimes_{\mathbf{Q}(\varrho)} A^\dagger(K_\varrho)^\varrho \longrightarrow \mathcal{I}/\mathcal{I}^2,$$

where  $\mathcal{I}$  is the kernel of evaluation at  $w_o$  on  $\mathcal{O}_{\mathbf{f}g h}$ . It is a skew-symmetric bilinear form, arising from an application of Nekovář’s theory of Selmer complexes to the big self-dual Galois representation associated with  $(\mathbf{f}, g_\alpha, h_\alpha)$ . After setting  $r^\dagger = \dim_{\mathbf{Q}(\varrho)} A(K_\varrho)^\varrho$ , Conjecture 1.1 of [BSV21c] predicts that  $L_p^{\alpha\alpha}(A, \varrho)$  belongs to  $\mathcal{I}^{r^\dagger} - \mathcal{I}^{r^\dagger+1}$ , and that its image in  $(\mathcal{I}^{r^\dagger}/\mathcal{I}^{r^\dagger+1})/\mathbf{Q}(\varrho)^{*2}$  is equal to the discriminant

$$R_p^{\alpha\alpha}(A, \varrho) = \det(\langle\langle P_i, P_j \rangle\rangle_{\mathbf{f}g_\alpha h_\alpha})_{1 \leq i, j \leq r^\dagger}$$

of the  $p$ -adic height  $\langle\langle \cdot, \cdot \rangle\rangle_{\mathbf{f}g_\alpha h_\alpha}$ , where  $P_1, \dots, P_{r^\dagger}$  is any  $\mathbf{Q}(\varrho)$ -basis of  $A^\dagger(K_\varrho)^\varrho$ .

The following theorem is the main result of this note.

**Theorem.** — *Assume that Assumption 1.1 and Assumption 1.2 (stated below) are satisfied. If  $L(f \otimes g \otimes h, s)$  has order of vanishing 2 at  $s = 1$ , then*

$$\dim_{\mathbf{Q}(\varrho)} A^\dagger(K_\varrho)^\varrho = 4, \quad L_p^{\alpha\alpha}(A, \varrho) \in \mathcal{I}^4 - \mathcal{I}^5$$

and the equality

$$L_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{I}^5} = R_p^{\alpha\alpha}(A, \varrho)$$

holds in the quotient of  $\mathcal{I}^4/\mathcal{I}^5$  by the multiplicative action of  $\mathbf{Q}(\varrho)^{*2}$ .

In the present setting, the Garrett  $L$ -function  $L(f \otimes g \otimes h, s)$  factors as the product of the Rankin–Selberg  $L$ -functions  $L(A/K, \varphi, s)$  and  $L(A/K, \psi, s)$ , where  $\varphi = \nu_g \cdot \nu_h$  and  $\psi = \nu_g \cdot \nu_h^c$ , and  $\nu_h^c$  is the conjugate of  $\nu_h$  by the nontrivial element of  $\text{Gal}(K/\mathbf{Q})$ . Note that  $\varphi$  and  $\psi$  are *dihedral* by Assumption 1.1.(2), and that both  $L(A/K, \varphi, s)$  and  $L(A/K, \psi, s)$  have sign  $-1$  in their functional equation by Assumption 1.1.(1). In particular the assumptions of the Theorem imply that  $L(A/K, \chi, s)$  has a simple zero at  $s = 1$  for  $\chi = \varphi$  and  $\chi = \psi$ , hence  $A(K_\varrho)^\varrho$  is two-dimensional over  $\mathbf{Q}(\varrho)$  and generated by Heegner points by the Kolyvagin–Gross–Zagier–Zhang theorem.

If  $\chi = \varphi, \psi$  is quadratic,  $\overline{\mathbf{Q}}^{\ker(\chi)} = \mathbf{Q}(\sqrt{cd_1}, \sqrt{cd_2})$ , where  $c, d_1$  and  $d_2$  are fundamental discriminants such that  $d_K = d_1 \cdot d_2$ . (We consider 1 as a fundamental discriminant). In this case  $L(A/K, \chi, s)$  further factors as the product of the Hasse–Weil  $L$ -functions  $L(A/\mathbf{Q}, \chi_1, s)$  and  $L(A/\mathbf{Q}, \chi_2, s)$  of the twists of  $A$  by the quadratic characters  $\chi_i$  of  $\mathbf{Q}(\sqrt{cd_i})$ . By Assumptions 1.1.(1) and 1.1.(4), we can order  $\chi_1$  and  $\chi_2$  in such a way that  $\text{sign}(A, \chi_1) = -1$  and  $\text{sign}(A, \chi_2) = +1$ , where  $\text{sign}(A, \chi_i)$  is the sign in the functional equation satisfied by  $L(A/\mathbf{Q}, \chi_i, s)$ .

**Assumption 1.2.** — *If  $\chi = \varphi$  or  $\chi = \psi$  is quadratic, then  $\chi_1(p) = \alpha_f$ .*

Under the assumptions of the Theorem, the results of [BSV21d, BSV21a] imply that  $L_p^{\alpha\alpha}(A, \varrho)$  belongs to  $\mathcal{I}^4 - \mathcal{I}^5$ . The actual contribution of this note is the proof of the identity  $L_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{I}^5} = R_p^{\alpha\alpha}(A, \varrho)$ , which grounds on the results of loc. cit. and an extension of the techniques of [Ven13, Ven16a, Ven16b].

## 2. Proof of the main result

### 2.1. Preliminaries. —

**2.1.1. Galois representations.** — To lighten the notation, set  $(\mathbf{g}, \mathbf{h}) = (\mathbf{g}_\alpha, \mathbf{h}_\alpha)$ . For  $\xi = \mathbf{f}, \mathbf{g}, \mathbf{h}$  let  $V(\xi)$  be the big Galois representation attached to  $\xi$  (cf. Section 5 of [BSV21d]). Under the current assumptions, it is a free  $\mathcal{O}_\xi$ -module of rank two, equipped with a continuous  $\mathcal{O}_\xi$ -linear action of  $G_{\mathbf{Q}}$ . For each  $u$  in  $U_\xi \cap \mathbf{Z}_{\geq 2}$ , evaluation at  $u$  on  $U_\xi$  induces a natural specialisation isomorphism

$$\rho_u : V(\xi) \otimes_u E \simeq V(\xi_u),$$

where  $E = \mathbf{Q}_p$  if  $\xi = \mathbf{f}$  and  $E = L$  if  $\xi = \mathbf{g}, \mathbf{h}$ , where  $\cdot \otimes_u E$  denotes the base change along evaluation at  $u$  on  $\mathcal{O}_\xi$ , and where  $V(\xi_u)$  is the homological  $p$ -adic Deligne representation of  $\xi_u$  with coefficients in  $E$  (cf. Section 2.4 of [BSV21d]).

When  $\xi = \mathbf{f}$  and  $u = 2$ , the representation  $V(\mathbf{f}) = V(\mathbf{f}_2)$  is equal to the  $\mathbf{f}$ -isotypic component of the cohomology group  $H_{\text{ét}}^1(X_1(N_f)_{\bar{\mathbf{Q}}}, \mathbf{Q}_p(1))$ , where  $X_1(N_f)_{\bar{\mathbf{Q}}}$  is the base change to  $\bar{\mathbf{Q}}$  of the compact modular curve  $X_1(N_f)$  of level  $\Gamma_1(N_f)$  defined over  $\mathbf{Q}$ . Fix a modular parametrisation (viz. a non-constant morphism of  $\mathbf{Q}$ -curves)

$$\wp_\infty : X_1(N_f) \longrightarrow A,$$

which induces an isomorphism of  $\mathbf{Q}_p[G_{\mathbf{Q}}]$ -modules between  $V(\mathbf{f})$  and the  $p$ -adic Tate module  $V_p(A) = H_{\text{ét}}^1(A_{\bar{\mathbf{Q}}}, \mathbf{Q}_p(1))$  of  $A$  with  $\mathbf{Q}_p$ -coefficients.

When  $\xi = \mathbf{g}, \mathbf{h}$  and  $u = 1$ , the  $L[G_{\mathbf{Q}}]$ -module

$$V(\xi) = V(\xi) \otimes_1 L$$

affords the dual of the Deligne–Serre representation of  $\xi$ , id est the induced from  $G_K$  to  $G_{\mathbf{Q}}$  of the character  $\nu_\xi$  with coefficients in  $L$ . (Recall that  $\xi_1 = \xi_\alpha$ . Here we favour the lighter notation  $V(\xi)$  for  $V(\xi) \otimes_1 L$  over the more consistent one  $V(\xi_\alpha)$ .)

There exists a perfect  $G_{\mathbf{Q}}$ -equivariant and skew-symmetric pairing

$$\pi_\xi : V(\xi) \otimes_{\mathcal{O}_\xi} V(\xi) \longrightarrow \mathcal{O}_\xi(\chi_\xi \cdot \chi_{\text{cyc}}^{u-1}),$$

where  $\chi_{\text{cyc}} : G_{\mathbf{Q}} \longrightarrow \mathbf{Z}_p^*$  is the  $p$ -adic cyclotomic character and  $\chi_{\text{cyc}}^{u-1} : G_{\mathbf{Q}} \longrightarrow \mathcal{O}_\xi^*$  satisfies  $\chi_{\text{cyc}}^{u-1}(\sigma)(u) = \chi_{\text{cyc}}(\sigma)^{u-1}$  for each  $\sigma$  in  $G_{\mathbf{Q}}$  and each  $u$  in  $U_\xi \cap \mathbf{Z}$ . (With the notations of [BSV21d, Section 5], the pairing  $\pi_\xi$  is the composition of the twist by  $\chi_\xi \cdot \chi_{\text{cyc}}^{u-1}$  of the  $\mathcal{O}_\xi$ -adic Poincaré duality  $\langle \cdot, \cdot \rangle_{\mathbf{f}} : V(\xi) \otimes_{\mathcal{O}_\xi} V^*(\xi) \longrightarrow \mathcal{O}_\xi$  defined in [BSV21d, Equation (103)] with  $\text{id}_{V(\xi)} \otimes w_{N_{\xi p}}^{-1}$ , where  $w_{N_{\xi p}} : V^*(\xi)(\chi_\xi \cdot \chi_{\text{cyc}}^{u-1}) \simeq V(\xi)$  is the  $\mathcal{O}_\xi$ -adic Atkin–Lehner isomorphism defined in [BSV21d, Equation (114)].) Up to sign, the pairing  $\pi_\xi : V(\xi) \otimes_{\mathbf{Q}_p} V(\xi) \longrightarrow \mathbf{Q}_p(1)$  arising from the base change of  $\pi_\xi$  along evaluation at  $k = 2$  on  $\mathcal{O}_{\mathbf{f}}$  and the specialisation isomorphism  $\rho_2$  is the one induced on the  $\mathbf{f}$ -isotypic components by the Poincaré duality on  $H_{\text{ét}}^1(X_1(N_f)_{\bar{\mathbf{Q}}}, \mathbf{Q}_p(1))$ . If  $\xi = \mathbf{g}, \mathbf{h}$ , the weight-one specialisation of  $\pi_\xi$  yields a perfect skew-symmetric duality

$$\pi_\xi : V(\xi) \otimes_L V(\xi) \longrightarrow L(\chi_\xi).$$

Identify  $G_{\mathbf{Q}_p}$  with a subgroup of  $G_{\mathbf{Q}}$  via the embedding  $i_p : \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_p$  fixed at the outset, and let  $\tilde{a}_p(\xi) : G_{\mathbf{Q}_p} \longrightarrow \mathcal{O}_\xi^*$  be the unramified character sending an arithmetic Frobenius to the  $p$ -th Fourier coefficient  $a_p(\xi)$  of  $\xi$ . In the present setting there is a

natural short exact sequence of  $\mathcal{O}_\xi[G_{\mathbf{Q}_p}]$ -modules  $V(\xi)^+ \hookrightarrow V(\xi) \twoheadrightarrow V(\xi)^-$ , where  $V(\xi)^+$  and  $V(\xi)^-$  are free  $\mathcal{O}_\xi$ -modules of rank one and  $G_{\mathbf{Q}_p}$  acts on them via the characters  $\chi_\xi \cdot \chi_{\text{cyc}}^{u-1} \cdot \check{a}_p(\xi)^{-1}$  and  $\check{a}_p(\xi)$  respectively (cf. Section 5 of [BSV21d]). If  $\xi = \mathbf{f}$ , the specialisation isomorphism  $\rho_2 : V(\mathbf{f}) \otimes_2 \mathbf{Q}_p \simeq V(f)$  identifies  $V(\mathbf{f})^- \otimes_2 \mathbf{Q}_p$  with the maximal  $p$ -unramified quotient of  $V(f)$  and  $V(\xi)^+ \otimes_2 \mathbf{Q}_p$  with the kernel  $V(f)^+$  of the projection  $V(f) \twoheadrightarrow V(f)^-$ . If  $\xi = \mathbf{g}, \mathbf{h}$  define

$$V(\xi)_\alpha = V(\xi)^- \otimes_1 L \quad \text{and} \quad V(\xi)_\beta = V(\xi)^+ \otimes_1 L,$$

so that  $V(\xi)_\gamma$  (for  $\gamma = \alpha, \beta$ ) is the submodule of  $V(\xi)$  on which an arithmetic Frobenius in  $G_{\mathbf{Q}_p}$  acts as multiplication by  $\gamma_\xi$ , and (as  $L[G_{\mathbf{Q}_p}]$ -modules)

$$V(\xi) = V(\xi)_\alpha \oplus V(\xi)_\beta.$$

Define

$$\mathbf{V} = V(\mathbf{f}, \mathbf{g}, \mathbf{h}) = V(\mathbf{f}) \hat{\otimes}_{\mathbf{Q}_p} V(\mathbf{g}) \hat{\otimes}_L V(\mathbf{h}) (\Xi_{\mathbf{fgh}}),$$

where  $\Xi_{\mathbf{fgh}} = \chi_{\text{cyc}}^{(4-k-l-m)/2} : G_{\mathbf{Q}} \longrightarrow \mathcal{O}_{\mathbf{fgh}}^*$  satisfies  $\Xi_{\mathbf{fgh}}(\sigma)(w) = \chi_{\text{cyc}}(\sigma)^{\frac{4-k-l-m}{2}}$  for each  $\sigma$  in  $G_{\mathbf{Q}}$  and each  $w = (k, l, m)$  in  $U_{\mathbf{f}} \times U_{\mathbf{g}} \times U_{\mathbf{h}} \cap \mathbf{Z}^3$ , and

$$V = V(f, g, h) = V(f) \otimes_{\mathbf{Q}_p} V(g) \otimes_L V(h).$$

Evaluation at  $w_o = (2, 1, 1)$  on  $\mathcal{O}_{\mathbf{fgh}}$  induces a specialisation isomorphism

$$\rho_{w_o} : \mathbf{V} \otimes_{w_o} L \simeq V.$$

The product of the pairing  $\pi_\xi$  for  $\xi = \mathbf{f}, \mathbf{g}, \mathbf{h}$  yields a perfect,  $G_{\mathbf{Q}}$ -equivariant and skew-symmetric duality (cf. Assumption 1.1.(2))

$$\pi_{\mathbf{fgh}} : \mathbf{V} \otimes_{\mathcal{O}_{\mathbf{fgh}}} \mathbf{V} \longrightarrow \mathcal{O}_{\mathbf{fgh}}(1),$$

whose base change along evaluation at  $w_o$  on  $\mathcal{O}_{\mathbf{fgh}}$  recasts (via  $\rho_{w_o}$ ) the perfect duality

$$\pi_{fgh} : V \otimes_L V \longrightarrow L(1)$$

defined by the product of the perfect pairings  $\pi_\xi$  for  $\xi = f, g, h$ .

For  $\xi = \mathbf{f}, \mathbf{g}, \mathbf{h}$  let  $\mathcal{F}^\bullet V(\xi)$  be the decreasing filtration on the  $\mathcal{O}_{\mathbf{fgh}}[G_{\mathbf{Q}_p}]$ -module  $V(\xi)$  defined by  $\mathcal{F}^1 V(\xi) = V(\xi)^+$ ,  $\mathcal{F}^i V(\xi) = V(\xi)$  for each  $i \leq 0$  and  $\mathcal{F}^i V(\xi) = 0$  for each  $i \geq 2$ . Define the *balanced* submodule  $\mathcal{F}^2 \mathbf{V}$  of  $\mathbf{V}$  by

$$\mathcal{F}^2 \mathbf{V} = \left[ \sum_{a+b+c=2} \mathcal{F}^a V(\mathbf{f}) \hat{\otimes}_{\mathbf{Q}_p} \mathcal{F}^b V(\mathbf{g}) \hat{\otimes}_L \mathcal{F}^c V(\mathbf{h}) \right] \otimes_{\mathcal{O}_{\mathbf{fgh}}} \Xi_{\mathbf{fgh}},$$

and the *f-unbalanced* submodule  $\mathbf{V}^+$  of  $\mathbf{V}$  by

$$\mathbf{V}^+ = V(\mathbf{f})^+ \hat{\otimes}_{\mathbf{Q}_p} V(\mathbf{g}) \hat{\otimes}_L V(\mathbf{h}) \otimes_{\mathcal{O}_{\mathbf{fgh}}} \Xi_{\mathbf{fgh}}.$$

These are  $G_{\mathbf{Q}_p}$ -invariant free  $\mathcal{O}_{\mathbf{fgh}}$ -submodules of  $\mathbf{V}$  of rank  $4 = \frac{1}{2} \text{rank}_{\mathcal{O}_{\mathbf{fgh}}} \mathbf{V}$ , which are maximal isotropic with respect to the skew-symmetric duality  $\pi_{\mathbf{fgh}}$ . After setting

$$\mathbf{V}^- = \mathbf{V}/\mathbf{V}^+ \quad \text{and} \quad \mathbf{V}_f = V(\mathbf{f})^- \hat{\otimes}_{\mathbf{Q}_p} V(\mathbf{g})^+ \hat{\otimes}_L V(\mathbf{h})^+ \otimes_{\mathcal{O}_{\mathbf{fgh}}} \Xi_{\mathbf{fgh}},$$

one has a commutative diagram of  $\mathcal{O}_{fgh}[G_{\mathbf{Q}_p}]$ -modules

$$(2) \quad \begin{array}{ccc} \mathcal{F}^2 \mathbf{V} & \xrightarrow{i_{\mathcal{F}}} & \mathbf{V} \\ p_f \downarrow & & \downarrow p^- \\ \mathbf{V}_f & \xrightarrow{i_f} & \mathbf{V}^- \end{array}$$

with  $i_{\mathcal{F}}$  and  $i_f$  the natural inclusions and  $p^-$  the natural projection. Note that  $p^- \circ i_{\mathcal{F}}$  and  $i_f$  have the same image, hence the morphism  $p_f$  is defined by the commutativity of the diagram. One defines the *balanced local subspace*  $H_{\text{bal}}^1(\mathbf{Q}_p, \mathbf{V})$  of  $H^1(\mathbf{Q}_p, \mathbf{V})$  to be the image of the morphism induced in cohomology by  $i_{\mathcal{F}}$ . This morphism is injective (cf. Section 7.2 of [BSV21d]), hence gives a natural identification

$$(3) \quad H_{\text{bal}}^1(\mathbf{Q}_p, \mathbf{V}) = H^1(\mathbf{Q}_p, \mathcal{F}^2 \mathbf{V})$$

Set  $V^{\pm} = V(f)^{\pm} \otimes_{\mathbf{Q}_p} V(g) \otimes_L V(h)$ . For each pair  $(i, j)$  of elements of  $\{\alpha, \beta\}$  define  $V_{ij} = V(f) \cdot \otimes_{\mathbf{Q}_p} V(g)_i \otimes_L V(h)_j$ , where  $\cdot$  is one of symbols  $\emptyset, +$  and  $-$ . Then

$$V = V_{\alpha\alpha} \oplus V_{\alpha\beta} \oplus V_{\beta\alpha} \oplus V_{\beta\beta}$$

as  $L[G_{\mathbf{Q}_p}]$ -modules, and Equation (1) implies

$$(4) \quad H^0(\mathbf{Q}_p, V^-) = V_{\alpha\alpha}^- \oplus V_{\beta\beta}^- \quad \text{and} \quad H^0(\mathbf{Q}_p, V^+(-1)) = V_{\alpha\alpha}^+(-1) \oplus V_{\beta\beta}^+(-1).$$

The specialisation isomorphism  $\rho_{w_o}$  identifies  $\mathbf{V}^{\pm} \otimes_{w_o} L$ ,  $\mathcal{F}^2 \mathbf{V} \otimes_{w_o} L$  and  $\mathbf{V}_f \otimes_{w_o} L$  with  $V^{\pm}$ ,  $\mathcal{F}^2 V = V_{\beta\beta} + V_{\alpha\beta}^+ + V_{\beta\alpha}^+$  and  $V_{\beta\beta}^-$  respectively. In particular the base change of the commutative diagram (2) along evaluation at  $w_o$  on  $\mathcal{O}_{fgh}$  is equal to

$$(5) \quad \begin{array}{ccc} \mathcal{F}^2 V & \xrightarrow{i_{\mathcal{F}}} & V \\ p_f \downarrow & & \downarrow p^- \\ V_{\beta\beta}^- & \xrightarrow{i_f} & V^- \end{array}$$

with  $i_{\mathcal{F}}$  and  $i_f$  the natural inclusions and  $p^-$  the natural projection.

The Bloch–Kato finite subspace of  $H^1(\mathbf{Q}_p, V)$  is equal to the kernel of the map  $p^- : H^1(\mathbf{Q}_p, V) \rightarrow H^1(\mathbf{Q}_p, V^-)$ , cf. Section 9.1 of [BSV21d]. (With a slight abuse of notation, we denote by the same symbol a morphism of  $G_{\mathbf{Q}_p}$ -modules and the maps it induces in cohomology.) By construction (cf. Equation (2) and (5)), the specialisation  $\kappa = \rho_{w_o}(\kappa)$  in  $H^1(\mathbf{Q}_p, V)$  at  $w_o$  of a local balanced class  $\kappa$  in  $H_{\text{bal}}^1(\mathbf{Q}_p, \mathbf{V})$  belongs to the kernel of the map  $H^1(\mathbf{Q}_p, V) \rightarrow H^1(\mathbf{Q}_p, V_{ij}^-)$  for  $ij = \alpha\alpha, \alpha\beta, \beta\alpha$ . Then  $\kappa$  is crystalline precisely if it belongs to the kernel of  $H^1(\mathbf{Q}_p, V) \rightarrow H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$ , id est if  $p_f(\kappa)$  in  $H^1(\mathbf{Q}_p, \mathbf{V}_f)$  (cf. Equation (3)) belongs to the kernel of the specialisation map  $\rho_{w_o} : H^1(\mathbf{Q}_p, \mathbf{V}_f) \rightarrow H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$ . Since the ideal  $\mathcal{I}$  of  $\mathcal{O}_{fgh}$  is generated by a regular sequence and  $H^2(\mathbf{Q}_p, V_{\beta\beta}^-) = 0$ , the specialisation map  $\rho_{w_o}$  induces an isomorphism  $H^1(\mathbf{Q}_p, \mathbf{V}_f) \otimes_{w_o} L \simeq H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$ . We have proved the following

**Lemma 2.1.** — *Let  $\kappa$  be a local balanced class in  $H_{\text{bal}}^1(\mathbf{Q}_p, \mathbf{V})$  and set  $\kappa = \rho_{w_o}(\kappa)$  in  $H^1(\mathbf{Q}_p, V)$ . Then  $\kappa$  is crystalline if and only if  $p_f(\kappa)$  belongs to  $\mathcal{I} \cdot H^1(\mathbf{Q}_p, \mathbf{V}_f)$ .*

**2.1.2.  $p$ -adic periods.** — Let  $\hat{\mathbf{Q}}_p^{\text{nr}}$  be the  $p$ -adic completion of the maximal unramified extension of  $\mathbf{Q}_p$ , let  $c = c(\chi_g)$  be the conductor of  $\chi_g$ , and for  $\xi = g, h$  define

$$G(\chi_\xi) = (-c)^{i_\xi} \cdot \sum_{a \in (\mathbf{Z}/c\mathbf{Z})^*} \chi_\xi(a)^{-1} \otimes e^{2\pi i a/c} \in D_{\text{cris}}(\chi_\xi),$$

where  $i_g = 0$ ,  $i_h = -1$  and  $D_{\text{cris}}(\chi_\xi)$  is a shorthand for  $H^0(\mathbf{Q}_p, L(\chi_\xi) \otimes_{\mathbf{Q}_p} \hat{\mathbf{Q}}_p^{\text{nr}})$ .

As explained in Section 3.1 of [BSV21c], for  $\xi = \mathbf{f}, \mathbf{g}, \mathbf{h}$  the module  $D(\xi)^-$  of  $G_{\mathbf{Q}_p}$ -invariants of  $V(\xi)^- \hat{\otimes}_{\mathbf{Q}_p} \hat{\mathbf{Q}}_p^{\text{nr}}$  is free of rank one over  $\mathcal{O}_\xi$ , and its base change  $D(\xi)_u^- = D(\xi)^- \otimes_u L$  along evaluation at a classical weight  $u$  in  $U_\xi \cap \mathbf{Z}_{\geq 2}$  on  $\mathcal{O}_\xi$  is canonically isomorphic to the  $\xi_u$ -isotypic component  $L \cdot \xi_u$  of  $S_u(pN_\xi, \chi_\xi)_L$ . Moreover there exists an  $\mathcal{O}_\xi$ -basis

$$\omega_\xi \in D(\xi)^-$$

whose image  $\omega_{\xi_u}$  in  $D(\xi)_u^-$  corresponds to  $\xi_u$  under the aforementioned isomorphism for each  $u$  in  $U_\xi \cap \mathbf{Z}_{\geq 2}$ . (We refer to loc. cit. and the references therein for the details.) The weight-two specialisation of  $\omega_f$  equals the de Rham class

$$\omega_f \in D_{\text{cris}}(V(f)^-) \simeq \text{Fil}^0 D_{\text{dR}}(V(f))$$

associated with  $f$  under the Faltings–Tsuji comparison isomorphism between the étale and de Rham cohomology of  $X_1(N_f)_{\mathbf{Q}_p}$ . (The isomorphism in the previous equation arises from the projection  $V(f) \rightarrow V(f)^-$ .) Denote by

$$\langle \cdot, \cdot \rangle_f : D_{\text{dR}}(V(f)) \otimes_L D_{\text{dR}}(V(f)) \rightarrow L$$

the perfect duality induced by  $\pi_f$ , and define  $\eta_f$  in  $D_{\text{dR}}(V(f))/\text{Fil}^0$  by the identity

$$\langle \eta_f, \omega_f \rangle_f = 1.$$

For  $\xi = \mathbf{g}, \mathbf{h}$ , the weight-one specialisation of  $\omega_\xi$  yields a class

$$\omega_{\xi_\alpha} \in D_{\text{cris}}(V(\xi)_\alpha) = D_{\text{cris}}(V(\xi))^{\varphi = \alpha_\xi^{-1}}$$

(with  $\varphi$  the crystalline Frobenius). The pairing  $\pi_\xi = \pi_\xi \otimes_1 L$  induces a perfect duality

$$\langle \cdot, \cdot \rangle_\xi : D_{\text{cris}}(V(\xi)) \otimes_L D_{\text{cris}}(V(\xi)) \rightarrow D_{\text{cris}}(\chi_\xi)$$

and one defines  $\eta_{\xi_\alpha}$  in  $D_{\text{cris}}(V(\xi)_\beta) = D_{\text{cris}}(V(\xi))^{\varphi = \beta_\xi^{-1}}$  by the identity

$$\langle \eta_{\xi_\alpha}, \omega_{\xi_\alpha} \rangle_\xi = G(\chi_\xi).$$

Along with  $\omega_f$ , it is important to consider another  $p$ -adic period

$$q(f) \in D_{\text{cris}}(V(f)^-) = \text{Fil}^0 D_{\text{dR}}(V(f))$$

arising from the Tate uniformisation of  $A_{\mathbf{Q}_p}$ , cf. Section 2 of [BSV21b]. Let  $K_p$  be the completion of  $K$  at  $p$  (namely the quadratic unramified extension of  $\mathbf{Q}_p$ ). Tate’s theory gives a rigid analytic uniformisation  $\wp_{\text{Tate}} : \mathbf{G}_{m, K_p}^{\text{rig}} \rightarrow A_{K_p}$ , unique up to sign, with kernel the lattice generated by the Tate period  $q_A$  in  $p\mathbf{Z}_p$  of  $A_{\mathbf{Q}_p}$ . One sets

$$(6) \quad q(A) = p^- (\wp_{\text{Tate}}(p^\infty \sqrt{q_A})) \in V_p(A)^- \quad \text{and} \quad q(f) = \sqrt{m_p} \cdot \wp_\infty^{-1}(q(A)),$$

where  ${}^p\sqrt{q_A}$  is any compatible system of  $p^n$ -th roots of  $q_A$ ,  $\wp_\infty : V(f)^- \simeq V_p(A)^-$  is the isomorphism arising from the fixed modular parametrisation  $\wp_\infty$ ,  $m_p = 1$  if  $\alpha_f = 1$  and  $m_p = d_K$  if  $\alpha_f = -1$ . As in loc. cit., define the generators

$$q_{\alpha\alpha} = q(f) \otimes \omega_{g_\alpha} \otimes \omega_{h_\alpha} \quad \text{and} \quad q_{\beta\beta} = q(f) \otimes \eta_{g_\alpha} \otimes \eta_{h_\alpha}$$

of the subspaces  $V_{\alpha\alpha}^-$  and  $V_{\beta\beta}^-$  respectively of  $H^0(\mathbf{Q}_p, V^-) = D_{\text{cris}}(V^-)^{\varphi=1}$ .

**2.1.3.** *The Garrett–Nekovář  $p$ -adic height pairing.* — Section 2 of [BSV21c] constructs a canonical skew-symmetric  $p$ -adic height pairing

$$\langle\langle \cdot, \cdot \rangle\rangle_{fgh} : \tilde{H}_f^1(\mathbf{Q}, V) \otimes_L \tilde{H}_f^1(\mathbf{Q}, V) \longrightarrow \mathcal{I} / \mathcal{I}^2$$

on the extended Selmer group  $\tilde{H}_f^1(\mathbf{Q}, V)$  associated with the Greenberg local condition at  $p$  arising from the inclusion  $i^+ : V^+ \hookrightarrow V$ . Let  $\text{Sel}(\mathbf{Q}, V)$  denote the Bloch–Kato Selmer group of  $V$ , which is equal to the kernel of  $H^1(\mathbf{Q}, V) \longrightarrow H^1(\mathbf{Q}_p, V^-)$  in the present setting (cf. [BSV21d, Section 9.1]). One has a commutative exact diagram

$$(7) \quad \begin{array}{ccccccc} 0 & \longrightarrow & H^0(\mathbf{Q}_p, V^-) & \xrightarrow{j} & \tilde{H}_f^1(\mathbf{Q}, V) & \xrightarrow{\pi} & \text{Sel}(\mathbf{Q}, V) \longrightarrow 0 \\ & & & & \downarrow \text{.+} & & \downarrow \text{res}_p \\ & & & & H^1(\mathbf{Q}_p, V^+) & \xrightarrow{i^+} & H^1(\mathbf{Q}_p, V) \end{array}$$

and there exists a unique section  $\iota_{\text{ur}} : \text{Sel}(\mathbf{Q}, V) \hookrightarrow \tilde{H}_f^1(\mathbf{Q}, V)$  of  $\pi$  such that the composition  $\iota_{\text{ur}}(\cdot)^+$  takes values in the finite subspace  $H_{\text{fin}}^1(\mathbf{Q}_p, V^+)$  of  $H^1(\mathbf{Q}_p, V^+)$  (cf. Section 2.3 of [BSV21c]). As in loc. cit. we use the maps  $j$  and  $\iota_{\text{ur}}$  to identify Nekovář’s extended Selmer group  $\tilde{H}_f^1(\mathbf{Q}, V)$  with the *naive* extended Selmer group

$$\text{Sel}^\dagger(\mathbf{Q}, V) = H^0(\mathbf{Q}_p, V^-) \oplus \text{Sel}(\mathbf{Q}, V).$$

Enlarging  $L$  if necessary, for  $\xi = g, h$  fix an isomorphism of  $L[G_{\mathbf{Q}}]$ -modules

$$(8) \quad \gamma_\xi : V_\xi \otimes_{\mathbf{Q}(\varrho)} L \simeq V(\xi) \quad \text{such that} \quad \pi_\xi(\gamma_\xi(x) \otimes \gamma_\xi(y)) \in \mathbf{Q}(\varrho)(\chi_\xi)$$

for each  $x$  and  $y$  in  $V_\xi$  (cf. Equation (4) of [BSV21c]). Set (cf. Equation (6))

$$(9) \quad \mathcal{Q}_p(A, \varrho) = H^0(\mathbf{Q}_p, \mathbf{Q}(\varrho) \cdot q(A) \otimes_{\mathbf{Q}(\varrho)} V_{gh}).$$

The modular parametrisation  $\wp_\infty : X_1(N_f) \longrightarrow A$  fixed in Section 2.1.1, the global Kummer map on  $A(K_\varrho) \hat{\otimes} \mathbf{Q}_p$  and the isomorphisms  $\gamma_g$  and  $\gamma_h$  induce an embedding

$$(10) \quad \gamma_{gh} : A^\dagger(K_\varrho)^\varrho \hookrightarrow \text{Sel}^\dagger(\mathbf{Q}, V) = \tilde{H}_f^1(\mathbf{Q}, V),$$

and one defines the Garrett–Nekovář  $p$ -adic pairing (cf. Section 1)

$$\langle\langle \cdot, \cdot \rangle\rangle_{fgh} : A^\dagger(K_\varrho)^\varrho \otimes_{\mathbf{Q}(\varrho)} A^\dagger(K_\varrho)^\varrho \longrightarrow \mathcal{I} / \mathcal{I}^2$$

to be the restriction of the canonical height  $\langle\langle \cdot, \cdot \rangle\rangle_{fgh}$  on  $\tilde{H}_f^1(\mathbf{Q}, V)$  along  $\gamma_{gh}$ . Note that the discriminant  $R_p^{\alpha\alpha}(A, \varrho)$  of  $\langle\langle \cdot, \cdot \rangle\rangle_{fgh}$  on  $A^\dagger(K_\varrho)^\varrho$  (cf. Section 1) is independent of the choice of the modular parametrisation  $\wp_\infty$  and the isomorphisms  $\gamma_g$  and  $\gamma_h$ .



**2.1.4. Logarithms.** — Let  $V_{\mathrm{dR}} = D_{\mathrm{dR}}(V)$  be the de Rham module of  $V = V(f, g, h)$ . The duality  $\pi_{fgh} : V \otimes_L V \rightarrow L(1)$  induces a perfect pairing

$$\langle \cdot, \cdot \rangle_{fgh} : V_{\mathrm{dR}} \otimes_L V_{\mathrm{dR}} \rightarrow L.$$

After identifying  $V_{\mathrm{dR}}$  with  $D_{\mathrm{dR}}(V(f)) \otimes_{\mathbf{Q}_p} D_{\mathrm{cris}}(V(g)) \otimes_L D_{\mathrm{cris}}(V(h))$  and  $L$  with  $D_{\mathrm{cris}}(\chi_g) \otimes_L D_{\mathrm{cris}}(\chi_h)$  under the natural isomorphisms (cf. Assumption 1.1.(2)), the pairing  $\langle \cdot, \cdot \rangle_{fgh}$  agrees with the product of the pairings  $\langle \cdot, \cdot \rangle_{\xi}$  for  $\xi = f, g, h$ .

The Bloch–Kato exponential map  $\exp_p$  gives an isomorphism between the tangent space  $V_{\mathrm{dR}}/\mathrm{Fil}^0$  of  $V$  and the finite (viz. crystalline) subspace  $H_{\mathrm{fin}}^1(\mathbf{Q}_p, V)$  of  $H^1(\mathbf{Q}_p, V)$ . Denote by  $\log_p$  the inverse of  $\exp_p$  and define the  $\alpha\alpha$ -logarithm

$$\log_{\alpha\alpha} = \langle \log_p, \omega_f \otimes \eta_{g_\alpha} \otimes \eta_{h_\alpha} \rangle_{fgh} : H_{\mathrm{fin}}^1(\mathbf{Q}_p, V) \rightarrow L$$

to be the composition of  $\log_p$  with evaluation at  $\omega_f \otimes \eta_{g_\alpha} \otimes \eta_{h_\alpha}$  in  $\mathrm{Fil}^0 V_{\mathrm{dR}}$  under the perfect duality  $\langle \cdot, \cdot \rangle_{fgh}$ . Similarly define the  $\beta\beta$ -logarithm

$$\log_{\beta\beta} = \langle \log_p, \omega_f \otimes \omega_{g_\alpha} \otimes \omega_{h_\alpha} \rangle : H_{\mathrm{fin}}^1(\mathbf{Q}_p, V) \rightarrow L.$$

(Note that  $\log_{\beta\beta}$  factors through the projection  $H_{\mathrm{fin}}^1(\mathbf{Q}_p, V) \rightarrow H^1(\mathbf{Q}_p, V_{ii})$ .)

Set  $\mathrm{tg}_{\mathrm{dR}, K_p}(f) = H^0(K_p, V(f) \otimes_{\mathbf{Q}_p} B_{\mathrm{dR}})/\mathrm{Fil}^0$  and consider the composition

$$\log_{A,p} : A(K_p) \hat{\otimes} \mathbf{Q}_p \simeq H_{\mathrm{fin}}^1(K_p, V_p(A)) \simeq H_{\mathrm{fin}}^1(K_p, V(f)) \simeq \mathrm{tg}_{\mathrm{dR}, K_p}(f),$$

where the first isomorphism is the local Kummer map, the second is induced by the fixed modular parametrisation  $\wp_\infty : X_1(N_f) \rightarrow A$  (cf. Section 2.1.1), and the third is the inverse of the Bloch–Kato exponential map. For  $\chi = \varphi, \psi$  (cf. Section 1) define

$$\log_{\omega_\chi} = \langle \log_{A,p}, \omega_\chi \rangle_\chi : A(K_\chi) \rightarrow K_p,$$

where  $K_\chi$  is the ring class field of  $K$  cut-out by  $\chi$  and  $A(K_\chi)$  is viewed as a subgroup of  $A(K_p)$  via the embedding  $i_p : \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_p$  fixed at the outset. (Recall that  $p$  is inert in  $K$  and that  $\chi$  is dihedral, hence  $p\mathcal{O}_K$  splits completely in  $K_\chi$ .)

**2.2. Big logarithms and diagonal classes.** — Let

$$\mathcal{L}_f : H^1(\mathbf{Q}_p, \mathbf{V}_f) \rightarrow \mathcal{I}$$

be the big logarithm map constructed in Proposition 7.3 of [BSV21d] using the work of Coleman, Perrin-Riou et alii. (Note that the tame character  $\chi_f$  of  $\mathbf{f}$  is trivial in the present setting and that the logarithm  $\mathcal{L}_f$  takes values in  $\mathcal{I}$  by the exceptional zero condition  $\alpha_f = \alpha_g \cdot \alpha_h$ .) With a slight abuse of notation denote by

$$\mathcal{L}_f : H_{\mathrm{bal}}^1(\mathbf{Q}_p, \mathbf{V}) \rightarrow \mathcal{I}$$

also the composition  $\mathcal{L}_f \circ p_f$  (cf. Equation (3)).

Let  $H_{\mathrm{bal}}^1(\mathbf{Q}, \mathbf{V})$  be the group of global classes in  $H^1(\mathbf{Q}, \mathbf{V})$  whose restriction at  $p$  belongs to the balanced local condition  $H_{\mathrm{bal}}^1(\mathbf{Q}_p, \mathbf{V})$ . According to Theorem A of [BSV21d] (cf. [BSV21a, Section 2.1]) there exists a canonical *big diagonal class*

$$\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}) = \kappa(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha) \in H_{\mathrm{bal}}^1(\mathbf{Q}, \mathbf{V})$$

such that

$$(11) \quad \mathcal{L}_f(\mathrm{res}_p(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))) = \mathcal{L}_p^{\alpha\alpha}(A, \varrho).$$

Define the *diagonal class*

$$\kappa(f, g_\alpha, h_\alpha) = \rho_{w_o}(\kappa(\mathbf{f}, \mathbf{g}_\alpha, \mathbf{h}_\alpha))$$

to be the image in  $H^1(\mathbf{Q}, V)$  of  $\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})$  under the map induced in cohomology by the specialisation isomorphism  $\rho_{w_o} : \mathbf{V} \otimes_{w_o} L \simeq V$ . Since by assumption the complex Garrett  $L$ -function  $L(A, \varrho, s) = L(f \otimes g \otimes h, s)$  vanishes at  $s = 1$ , Theorem B of [BSV21d] implies that  $\kappa(f, g_\alpha, h_\alpha)$  is crystalline at  $p$ , hence a Selmer class:

$$(12) \quad \kappa(f, g_\alpha, h_\alpha) \in \text{Sel}(\mathbf{Q}, V).$$

Identify  $\mathcal{O}_{fgh}$  with a subring of the power series ring  $L[[\mathbf{k} - 2, \mathbf{l} - 1, \mathbf{m} - 1]]$ , where  $\mathbf{k} - 2$  in  $\mathcal{O}_f$  is a uniformiser at the centre 2 of  $U_f$ , and  $\mathbf{l} - 1$  and  $\mathbf{m} - 1$  are defined similarly. In light of Equation (12) and Lemma 2.1 there exist local classes  $\mathfrak{Y}_k, \mathfrak{Y}_l$  and  $\mathfrak{Y}_m$  in  $H^1(\mathbf{Q}_p, \mathbf{V}_f)$  satisfying the identity

$$(13) \quad p_f(\text{res}_p(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))) = \sum_{\mathbf{u}} \mathfrak{Y}_{\mathbf{u}} \cdot (\mathbf{u} - u_o).$$

Equation (11) gives

$$(14) \quad \mathcal{L}_p^{\alpha\alpha}(A, \varrho) = \sum_{\mathbf{u}} \mathcal{L}_f(\mathfrak{Y}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o) \in \mathcal{I}^2.$$

The following key lemma, proved in Part 1 of Proposition 9.3 of [BSV21d], gives an explicit description of the linear term of  $\mathcal{L}_f(\mathfrak{Y}_{\mathbf{u}})$  at  $w_o$ . Identify the  $p$ -adic completion of the Galois group of the maximal abelian extension of  $\mathbf{Q}_p$  with that of  $\mathbf{Q}_p^*$  via the local Artin map, normalised in such a way that  $p^{-1}$  corresponds to the arithmetic Frobenius. This identifies  $H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  with  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$ , hence (recalling that  $G_{\mathbf{Q}_p}$  acts trivially on  $V_{\beta\beta}^-$ , cf. Equation (4))

$$(15) \quad H^1(\mathbf{Q}_p, V_{\beta\beta}^-) = \text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} V_{\beta\beta}^-,$$

and the Bloch–Kato dual exponential  $\exp_p^*$  on  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  satisfies

$$\exp_p^*(\varphi \otimes v) = \varphi(e(1)) \cdot v$$

in  $D_{\text{cris}}(V_{\beta\beta}^-) = V_{\beta\beta}^-$  for each  $\varphi$  in  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$  and  $v$  in  $V_{\beta\beta}^-$ , where

$$e(1) = (1 + p) \hat{\otimes} \log_p(1 + p)^{-1} \in \mathbf{Z}_p^* \hat{\otimes} \mathbf{Q}_p.$$

For  $x = \varphi \otimes v$  in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  (with  $\varphi$  and  $v$  as above) and  $q$  in  $\mathbf{Q}_p^* \hat{\otimes} \mathbf{Q}_p$ , set

$$x(q) = \varphi(q) \cdot v \quad \text{and} \quad x(q)_f = \langle x(q), \eta_f \otimes \omega_{g_\alpha} \otimes \omega_{h_\alpha} \rangle_{fgh}.$$

If  $(\boldsymbol{\xi}, \mathbf{u})$  denotes one of the pairs  $(\mathbf{f}, \mathbf{k})$ ,  $(\mathbf{g}, \mathbf{l})$  and  $(\mathbf{h}, \mathbf{m})$ , define

$$\tilde{D}_{\mathbf{u}} : H^1(\mathbf{Q}_p, \mathbf{V}_f) \longrightarrow L$$

to be the linear map which on  $\mathfrak{Y}$  in  $H^1(\mathbf{Q}_p, \mathbf{V}_f)$  takes the value

$$(16) \quad \tilde{D}_{\mathbf{u}}(\mathfrak{Y}) = \frac{(-1)^{u_o}}{2(1 - p^{-1})} \cdot (\eta(p^{-1})_f - \mathfrak{L}_{\boldsymbol{\xi}}^{\text{an}} \cdot \eta(e(1))_f).$$

Here  $\eta = \rho_{w_o}(\mathfrak{Y})$  in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  is the  $w_o$ -specialisation of  $\mathfrak{Y}$ ,  $u_o = 2$  if  $\mathbf{u} = \mathbf{k}$  and  $u_o = 1$  if  $\mathbf{u} = \mathbf{l}, \mathbf{m}$ , and  $\mathfrak{L}_{\xi}^{\text{an}}$  in  $L$  is the *analytic  $\mathcal{L}$ -invariant of  $\xi$* , defined by

$$\mathfrak{L}_{\xi}^{\text{an}} = -2 \cdot d\log a_p(\xi)(u_o)$$

(where  $d\log a = a'/a$  for  $a$  in  $\mathcal{O}_{\xi}^*$ ). We can finally state the aforementioned key lemma.

**Lemma 2.2.** — *For each local class  $\mathfrak{Y}$  in  $H^1(\mathbf{Q}_p, \mathbf{V}_f)$  one has*

$$\mathcal{L}_f(\mathfrak{Y}) \pmod{\mathcal{I}^2} = \sum_{\mathbf{u}} \tilde{D}_{\mathbf{u}}(\mathfrak{Y}) \cdot (\mathbf{u} - u_o).$$

For each pair  $(\mathbf{u}, \mathbf{v})$  of distinct elements of  $\{\mathbf{k}, \mathbf{l}, \mathbf{m}\}$ , define (cf. Equation (13))

$$\tilde{D}_{\mathbf{u}, \mathbf{u}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) = \tilde{D}_{\mathbf{u}}(\mathfrak{Y}_{\mathbf{u}}) \quad \text{and} \quad \tilde{D}_{\mathbf{u}, \mathbf{v}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) = \tilde{D}_{\mathbf{u}}(\mathfrak{Y}_{\mathbf{v}}) + \tilde{D}_{\mathbf{v}}(\mathfrak{Y}_{\mathbf{u}}).$$

Equation (14) and Lemma 2.2 give the following lemma (which implies that the *derivatives*  $\tilde{D}_{\mathbf{u}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))$  are independent of the choice of the classes  $\mathfrak{Y}_{\mathbf{u}}$  satisfying (13)).

**Lemma 2.3.** — *One has the following equality in  $\mathcal{I}^2/\mathcal{I}^3$ .*

$$\mathcal{L}_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{I}^3} = \sum_{\mathbf{u}, \mathbf{v}} \tilde{D}_{\mathbf{u}, \mathbf{v}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) \cdot (\mathbf{u} - u_o)(\mathbf{v} - v_o)$$

**2.3. An exceptional zero formula à la Rubin–Perrin–Riou.** — For a positive integer  $n$  and each  $2n$ -tuple  $\mathbf{y} = (y_1, \dots, y_{2n})$  of elements of  $\tilde{H}_f^1(\mathbf{Q}, V)$  denote by

$$\mathcal{R}_p^{\alpha\alpha}(\mathbf{y}) = \text{Pf}(\langle \langle y_i, y_j \rangle \rangle_{\mathbf{fgh}})_{1 \leq i, j \leq 2n} \in \mathcal{I}^n / \mathcal{I}^{n+1}$$

the Pfaffian of the skew-symmetric  $2n \times 2n$  matrix whose  $ij$ -entry is  $\langle \langle y_i, y_j \rangle \rangle_{\mathbf{fgh}}$ , and define the *extended Garrett–Nekovář  $p$ -adic height pairing*

$$\tilde{h}_p^{\alpha\alpha} : \text{Sel}(\mathbf{Q}, V) \otimes_L \text{Sel}(\mathbf{Q}, V) \longrightarrow \mathcal{I}^2 / \mathcal{I}^3$$

to be the bilinear form which on  $y \otimes y'$  in  $\text{Sel}(\mathbf{Q}, V)^{\otimes 2}$  takes the value

$$\tilde{h}_p^{\alpha\alpha}(y \otimes y') = \mathcal{R}_p^{\alpha\alpha}(q_{\alpha\alpha}, q_{\beta\beta}, y, y').$$

The aim of this section is to prove the following proposition.

**Proposition 2.4.** — *Up to sign, one has the equality*

$$\tilde{h}_p^{\alpha\alpha}(\kappa(f, g_{\alpha}, h_{\alpha}) \otimes \cdot) = c_A \cdot \log_{\alpha\alpha}(\text{res}_p(\cdot)) \cdot \mathcal{L}_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{I}^3}$$

of  $\mathcal{I}^2/\mathcal{I}^3$ -valued  $L$ -linear forms on  $\text{Sel}(\mathbf{Q}, V)$ , where  $c_A = \frac{m_p \cdot (1-p^{-1}) \cdot \text{ord}_p(q_A)}{\text{deg}(\varphi_{\infty})}$ .

We divide the proof of Proposition 2.4 in a series of lemmas. Define

$$c_p(f) = \langle q(f), \eta_f \rangle_f$$

in  $L^*$  (cf. Section 2.1.2). As in Section 2.2, identify  $H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  with  $\text{Hom}_{\text{cont}}(\mathbf{Q}_p^*, \mathbf{Q}_p)$  via the local Artin map (sending  $p^{-1}$  to an arithmetic Frobenius), and set

$$\log_{\xi} = \log_p - \mathfrak{L}_{\xi}^{\text{an}} \cdot \text{ord}_p \in H^1(\mathbf{Q}_p, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} L,$$

where  $\log_p : \mathbf{Q}_p^* \longrightarrow \mathbf{Q}_p$  is the (branch of the)  $p$ -adic logarithm (vanishing at  $p$ ) and  $\text{ord}_p : \mathbf{Q}_p^* \longrightarrow \mathbf{Z}$  is the  $p$ -adic valuation normalised by  $\text{ord}_p(p) = 1$ .

**Lemma 2.5.** — For each Selmer class  $y$  in  $\text{Sel}(\mathbf{Q}, V)$  one has

$$-2 \cdot \langle\langle q_{\beta\beta}, y \rangle\rangle_{\mathbf{fgh}} = c_p(f) \cdot \log_{\alpha\alpha}(\text{res}_p(y)) \cdot (\mathbf{k} - \mathbf{l} - \mathbf{m})$$

and

$$-\frac{2 \cdot \deg(\wp_\infty)}{m_p \cdot \text{ord}_p(q_A)} \cdot \langle\langle q_{\beta\beta}, q_{\alpha\alpha} \rangle\rangle_{\mathbf{fgh}} = (\mathfrak{L}_{\mathbf{f}}^{\text{an}} - \mathfrak{L}_{\mathbf{g}}^{\text{an}}) \cdot (\mathbf{l} - 1) + (\mathfrak{L}_{\mathbf{f}}^{\text{an}} - \mathfrak{L}_{\mathbf{h}}^{\text{an}}) \cdot (\mathbf{m} - 1)$$

*Proof.* — See Equations (17) and (27) of [BSV21b]. (Note that the  $p$ -adic logarithm denoted by  $\log_{\alpha\alpha}$  in [BSV21b] is equal to  $\langle\log_p, q_{\beta\beta}\rangle_{\mathbf{fgh}} = -c_p(f) \cdot \log_{\alpha\alpha}$ .)  $\square$

Let  $\mathbf{C}_{\text{cont}}^\bullet(\mathbf{Q}_p, \mathbf{V}^-)$  be the complex of (inhomogeneous) continuous cochains of  $G_{\mathbf{Q}_p}$  with values in the quotient  $p^- : \mathbf{V} \rightarrow \mathbf{V}^-$  of  $\mathbf{V}$  (cf. Section 2.1.1), and let

$$\langle \cdot, \cdot \rangle_{\text{Tate}} : H^1(\mathbf{Q}_p, \mathbf{V}^-) \otimes_L H^1(\mathbf{Q}_p, \mathbf{V}^+) \rightarrow L$$

the local Tate pairing arising from the perfect duality  $\pi_{\mathbf{fgh}} : \mathbf{V} \otimes_L \mathbf{V} \rightarrow L(1)$ . Recall the morphism  $\cdot^+ : \tilde{H}_f^1(\mathbf{Q}, V) \rightarrow H^1(\mathbf{Q}_p, \mathbf{V}^+)$  introduced in Diagram (7).

**Lemma 2.6.** — There exist 1-cochains  $X_{\mathbf{k}}, X_{\mathbf{l}}$  and  $X_{\mathbf{m}}$  in  $\mathbf{C}_{\text{cont}}^1(\mathbf{Q}_p, \mathbf{V}^-)$  such that

$$(17) \quad p^-(\text{res}_p(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))) = \text{cl}\left(\sum_{\mathbf{u}} X_{\mathbf{u}} \cdot (\mathbf{u} - u_o)\right),$$

id est  $\sum_{\mathbf{u}} X_{\mathbf{u}} \cdot (\mathbf{u} - u_o)$  is a 1-cocycle representing  $p^-(\text{res}_p(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})))$ , and

$$\langle\langle \kappa(f, g_\alpha, h_\alpha), y \rangle\rangle_{\mathbf{fgh}} = \sum_{\mathbf{u}} \langle \mathfrak{r}_{\mathbf{u}}, y^+ \rangle_{\text{Tate}} \cdot (\mathbf{u} - u_o)$$

for each extended Selmer class  $y$  in  $\tilde{H}_f^1(\mathbf{Q}, V)$ , where

$$\mathfrak{r}_{\mathbf{u}} = \text{cl}(\rho_{w_o}(X_{\mathbf{u}}))$$

is the local class in  $H^1(\mathbf{Q}_p, \mathbf{V}^-)$  represented by the 1-cocycle  $\rho_{w_o}(X_{\mathbf{u}})$ .

*Proof.* — This follows from Equations (30)–(37) in Section 3.4 of [BSV21c]. (The paragraphs containing the aforementioned equations do not use the non-exceptionality assumption [BSV21c, Equation (26)] imposed in [BSV21c, Section 3].)  $\square$

Fix in what follows 1-cochains  $X_{\mathbf{k}}, X_{\mathbf{l}}$  and  $X_{\mathbf{m}}$  satisfying the conclusions of Lemma 2.6. For  $i = \alpha\alpha, \beta\beta$  let  $\text{pr}_i : H^1(\mathbf{Q}_p, \mathbf{V}^-) \rightarrow H^1(\mathbf{Q}_p, V_i^-)$  be the natural projection.

**Lemma 2.7.** — For  $\mathbf{u}$  equal to one of  $\mathbf{k}, \mathbf{l}$  and  $\mathbf{m}$ , one has

$$\text{pr}_{\alpha\alpha}(\mathfrak{r}_{\mathbf{u}}) = \mu_{\mathbf{u}} \cdot \log_{\mathbf{f}} \otimes q_{\alpha\alpha}$$

in  $H^1(\mathbf{Q}_p, V_{\alpha\alpha}^-) = H^1(\mathbf{Q}_p, \mathbf{Q}_p) \otimes_{\mathbf{Q}_p} V_{\alpha\alpha}^-$  for some  $\mu_{\mathbf{u}}$  in  $L$ .

*Proof.* — Set  $\kappa_{\alpha\alpha} = \kappa(f, g_\alpha, h_\alpha)$ . As explained in Section 3.3 of [BSV21b] (cf. Equation (15) of loc. cit.) one has (cf. Diagram (7))

$$q_{\beta\beta}^+ = \frac{m_p}{\deg(\wp_\infty)} \cdot (q_A \hat{\otimes} 1) \otimes q_{\alpha\alpha}^*$$

in the direct summand

$$H^1(\mathbf{Q}_p, V_{\beta\beta}^+) = H^1(\mathbf{Q}_p, \mathbf{Q}_p(1)) \otimes_{\mathbf{Q}_p} V_{\beta\beta}^+(-1)$$

of  $H^1(\mathbf{Q}_p, V^+)$ , where  $q_{\alpha\alpha}^*$  in  $V_{\beta\beta}^+(-1)$  is the dual basis of  $q_{\alpha\alpha}$  under the pairing  $\pi_{fgh}(-1)$ . It then follows from Lemma 2.6 and local class field theory that

$$\langle\langle \kappa_{\alpha\alpha}, q_{\beta\beta} \rangle\rangle_{fgh} = \sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}}^{\alpha\alpha}(q_A) \cdot (\mathbf{u} - u_o),$$

where the class  $\mathfrak{r}_{\mathbf{u}}^{\alpha\alpha}$  in  $H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  is defined by the identity

$$\mathrm{pr}_{\alpha\alpha}(\mathfrak{r}_{\mathbf{u}}) = \mathfrak{r}_{\mathbf{u}}^{\alpha\alpha} \otimes q_{\alpha\alpha}.$$

On the other hand, since  $\log_{\alpha\alpha}(\mathrm{res}_p(\kappa_{\alpha\alpha})) = 0$  (because  $\kappa_{\alpha\alpha}$  is a balanced class, cf. Section 9.1 of [BSV21d]), Lemma 2.5 and the skew-symmetry of  $\langle\langle \cdot, \cdot \rangle\rangle_{fgh}$  yield

$$\langle\langle \kappa_{\alpha\alpha}, q_{\beta\beta} \rangle\rangle_{fgh} = -\langle\langle q_{\beta\beta}, \kappa_{\alpha\alpha} \rangle\rangle_{fgh} = 0,$$

hence  $\mathfrak{r}_{\mathbf{u}}^{\alpha\alpha}(q_A) = 0$ , id est  $\mathfrak{r}_{\mathbf{u}}^{\alpha\alpha}$  is a multiple of  $\log_{q_A}$ . The lemma follows from this and Theorem 3.18 of [GS93], according to which  $\log_{q_A}$  equals  $\log_{\mathbf{f}}$ .  $\square$

**Lemma 2.8.** — *Assume that either  $\mathfrak{L}_{\mathbf{f}}^{\mathrm{an}} \neq \mathfrak{L}_{\mathbf{g}}^{\mathrm{an}}$  or  $\mathfrak{L}_{\mathbf{f}}^{\mathrm{an}} \neq \mathfrak{L}_{\mathbf{h}}^{\mathrm{an}}$ . Then the local classes  $\mathfrak{r}_{\mathbf{k}}$ ,  $\mathfrak{r}_{\mathbf{l}}$  and  $\mathfrak{r}_{\mathbf{m}}$  belong to the direct summand  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  of  $H^1(\mathbf{Q}_p, V^-)$ .*

*Proof.* — The proof uses the main properties of the Bockstein map

$$\beta_{fgh}^- : H^0(\mathbf{Q}_p, V^-) \longrightarrow H^1(\mathbf{Q}_p, V^-) \otimes_L \mathcal{I} / \mathcal{I}^2$$

introduced in [BSV21b, Section 3.1.1]. As  $\kappa(f, g_{\alpha}, h_{\alpha}) = \rho_{w_o}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))$  is crystalline at  $p$ , Lemma 2.1 shows that there exist  $\mathfrak{z}_{\mathbf{k}}$ ,  $\mathfrak{z}_{\mathbf{l}}$  and  $\mathfrak{z}_{\mathbf{m}}$  in  $H^1(\mathbf{Q}_p, V_{\mathbf{f}})$  such that

$$(18) \quad p_{\mathbf{f}}(\mathrm{res}_p(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h}))) = \sum_{\mathbf{u}} \mathfrak{z}_{\mathbf{u}} \cdot (\mathbf{u} - u_o).$$

Recall the specialisation isomorphism  $\rho_{w_o} : V_{\mathbf{f}} \otimes_{w_o} L \simeq V_{\beta\beta}^-$  arising from evaluation at  $w_o$  on  $\mathcal{O}_{fgh}$  (cf. Section 2.1.1), set  $\mathfrak{z}_{\mathbf{u}} = \rho_{w_o}(\mathfrak{z}_{\mathbf{u}})$  in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  and define

$$\nabla_{\mathbf{f}} = \sum_{\mathbf{u}} \mathfrak{z}_{\mathbf{u}} \cdot (\mathbf{u} - u_o)$$

in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-) \otimes \mathcal{I} / \mathcal{I}^2$ . It follows from Equations (17) and (18) and Lemma 3.2 of [BSV21b] that the difference  $\sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}} \cdot (\mathbf{u} - u_o) - \nabla_{\mathbf{f}}$  belongs to the image of the Bockstein map  $\beta_{fgh}^-$ . There exist then  $\mu$  and  $\nu$  in  $L$  such that

$$(19) \quad \sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}} \cdot (\mathbf{u} - u_o) - \nabla_{\mathbf{f}} - \nu \cdot \beta_{fgh}^-(q_{\beta\beta}) = \mu \cdot \beta_{fgh}^-(q_{\alpha\alpha}).$$

Equation (8) of [BSV21b] shows that  $\beta_{fgh}^-(q_{\beta\beta})$  belongs to  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-) \otimes_L \mathcal{I} / \mathcal{I}^2$ , hence Lemma 2.7 and the previous equation give

$$(20) \quad \sum_{\mathbf{u}} \mu_{\mathbf{u}} \cdot \log_{\mathbf{f}} \otimes q_{\alpha\alpha} \cdot (\mathbf{u} - u_o) = \sum_{\mathbf{u}} \mathrm{pr}_{\alpha\alpha}(\mathfrak{r}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o) = \mu \cdot \mathrm{pr}_{\alpha\alpha}(\beta_{fgh}^-(q_{\alpha\alpha}))$$

(where in the right-most term we write again  $\mathrm{pr}_{\alpha\alpha}$  to denote the  $\mathcal{I} / \mathcal{I}^2$ -base change of the projection  $\mathrm{pr}_{\alpha\alpha} : H^1(\mathbf{Q}_p, V^-) \longrightarrow H^1(\mathbf{Q}_p, V_{\alpha\alpha}^-)$ ). The computations carried

out in Sections 3.3 and 3.4 of [BSV21b] (see in particular Equation (30) of loc. cit. and the discussion preceding it) give the following equality in  $H^1(\mathbf{Q}_p, V_{\alpha\alpha}^-) \otimes_L \mathcal{I}/\mathcal{I}^2$ :

$$2 \cdot \text{pr}_{\alpha\alpha}(\beta_{\mathbf{f}\mathbf{g}\mathbf{h}}^-(q_{\alpha\alpha})) = \sum_{\mathbf{u}} \log_{\xi} \otimes q_{\alpha\alpha} \cdot (\mathbf{u} - u_o),$$

where  $(\xi, \mathbf{u}) = (\mathbf{f}, \mathbf{k}), (\mathbf{g}, \mathbf{l}), (\mathbf{h}, \mathbf{m})$ . Together with Equation (20) this implies

$$2\mu_{\mathbf{k}} = \mu, \quad 2\mu_{\mathbf{l}} \cdot \log_{\mathbf{f}} = \mu \cdot \log_{\mathbf{g}} \quad \text{and} \quad 2\mu_{\mathbf{m}} \cdot \log_{\mathbf{f}} = \mu \cdot \log_{\mathbf{h}},$$

thus  $\mu = \mu_{\mathbf{k}} = \mu_{\mathbf{l}} = \mu_{\mathbf{m}} = 0$  by the assumption on the analytic  $\mathcal{L}$ -invariants made in the statement. The lemma follows from this and Equation (19).  $\square$

Let  $(\mathbf{u}, \xi)$  denote one of  $(\mathbf{k}, \mathbf{f}), (\mathbf{l}, \mathbf{g})$  and  $(\mathbf{m}, \mathbf{h})$ . For each local class  $x$  in  $H^1(\mathbf{Q}_p, V^-)$ , denote by  $x_{\beta\beta} = \text{pr}_{\beta\beta}(x)$  in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  its  $\beta\beta$ -component and (with the notations introduced in Section 2.2) set

$$\ell_{\mathbf{u}}(x) = (-1)^{u_o} \cdot (x_{\beta\beta}(p^{-1})_{\mathbf{f}} - \mathfrak{L}_{\xi}^{\text{an}} \cdot x_{\beta\beta}(e(1))_{\mathbf{f}}).$$

For each pair  $(\mathbf{u}, \mathbf{v})$  of distinct elements of  $\{\mathbf{k}, \mathbf{l}, \mathbf{m}\}$  define

$$\tilde{D}_{\mathbf{u}, \mathbf{u}} = \ell_{\mathbf{u}}(\mathfrak{r}_{\mathbf{u}}) \quad \text{and} \quad \tilde{D}_{\mathbf{u}, \mathbf{v}} = \ell_{\mathbf{u}}(\mathfrak{r}_{\mathbf{v}}) + \ell_{\mathbf{v}}(\mathfrak{r}_{\mathbf{u}}).$$

**Lemma 2.9.** — *For each pair  $(\mathbf{u}, \mathbf{v})$  of elements of  $\{\mathbf{k}, \mathbf{l}, \mathbf{m}\}$  one has*

$$2(1 - p^{-1}) \cdot \tilde{D}_{\mathbf{u}, \mathbf{v}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) = \tilde{D}_{\mathbf{u}, \mathbf{v}}.$$

*Proof.* — We give the proof for  $(\mathbf{u}, \mathbf{v}) = (\mathbf{k}, \mathbf{l})$  and  $(\mathbf{u}, \mathbf{v}) = (\mathbf{k}, \mathbf{k})$ , the other cases being similar. We use the notations introduced in the proof of Lemma 2.8. Section 3 of [BSV21b] (see in particular Equations (8) and (30) of loc. cit.) gives the identities

$$2 \cdot \beta_{\mathbf{f}\mathbf{g}\mathbf{h}}^-(q_{\beta\beta}) = \sum_{\mathbf{u}} (-1)^{u_o} \cdot \log_{\xi} \otimes q_{\beta\beta} \cdot (\mathbf{u} - u_o) \quad \text{and} \quad \text{pr}_{\beta\beta}(\beta_{\mathbf{f}\mathbf{g}\mathbf{h}}^-(q_{\alpha\alpha})) = 0.$$

Equation (19) (and the definition of derivatives  $\tilde{D}_{\mathbf{u}, \mathbf{v}}$ ) then yields

$$2(1 - p^{-1}) \cdot \tilde{D}_{\mathbf{k}, \mathbf{l}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) - \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{l}}) - \ell_{\mathbf{l}}(\mathfrak{r}_{\mathbf{k}}) = \frac{\nu}{2} (\ell_{\mathbf{k}}(\log_{\mathbf{g}} \otimes q_{\beta\beta}) - \ell_{\mathbf{l}}(\log_{\mathbf{f}} \otimes q_{\beta\beta})) = 0$$

and

$$2(1 - p^{-1}) \cdot \tilde{D}_{\mathbf{k}, \mathbf{k}}(\kappa(\mathbf{f}, \mathbf{g}, \mathbf{h})) - \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{k}}) = -\frac{\nu}{2} \cdot \ell_{\mathbf{k}}(\log_{\mathbf{f}} \otimes q_{\beta\beta}) = 0,$$

quod erat demonstrandum.  $\square$

**Lemma 2.10.** — *Assume that either  $\mathfrak{L}_{\mathbf{f}}^{\text{an}} \neq \mathfrak{L}_{\mathbf{g}}^{\text{an}}$  or  $\mathfrak{L}_{\mathbf{f}}^{\text{an}} \neq \mathfrak{L}_{\mathbf{h}}^{\text{an}}$ . Then one has*

$$c_p(f) \cdot \langle\langle q_{\alpha\alpha}, \kappa(f, g_{\alpha}, h_{\alpha}) \rangle\rangle_{\mathbf{f}\mathbf{g}\mathbf{h}} = -\frac{m_p \cdot \text{ord}_p(q_A)}{\text{deg}(\wp_{\infty})} \cdot \sum_{\mathbf{u}} \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o).$$

*Proof.* — Under the assumption in the statement  $\mathfrak{r}_{\mathbf{u}}$  belongs to  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  by Lemma 2.8. Together with the equality  $\mathfrak{L}_{\mathbf{f}}^{\text{an}} = \frac{\log_p(q_A)}{\text{ord}_p(q_A)}$  (cf. [GS93]), this gives

$$(21) \quad \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{u}}) = \mathfrak{r}_{\mathbf{u}}(p^{-1})_{\mathbf{f}} - \mathfrak{L}_{\mathbf{f}}^{\text{an}} \cdot \mathfrak{r}_{\mathbf{u}}(e(1))_{\mathbf{f}} = -\frac{1}{\text{ord}_p(q_A)} \cdot \mathfrak{r}_{\mathbf{u}}(q_A)_{\mathbf{f}}.$$

According to Equation (15) of [BSV21b], one has

$$q_{\alpha\alpha}^+ = \frac{m_p}{\deg(\wp_\infty)} \cdot (q_A \hat{\otimes} 1) \otimes q_{\beta\beta}^*,$$

where  $q_{\beta\beta}^*$  in  $V_{\alpha\alpha}^+$  is the dual basis of  $q_{\beta\beta}$  under the perfect pairing  $\pi_{fgh}(-1)$ . Lemma 2.6, the skew-symmetry of  $\langle\langle \cdot, \cdot \rangle\rangle_{fgh}$  and local class field theory then give

$$\langle\langle q_{\alpha\alpha}, \kappa(f, g_\alpha, h_\alpha) \rangle\rangle_{fgh} = -\langle\langle \kappa(f, g_\alpha, h_\alpha), q_{\alpha\alpha} \rangle\rangle_{fgh} = \frac{m_p}{\deg(\wp_\infty)} \cdot \sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}}^{\beta\beta}(q_A) \cdot (\mathbf{u} - u_o),$$

where  $\mathfrak{r}_{\mathbf{u}}^{\beta\beta}$  in  $H^1(\mathbf{Q}_p, \mathbf{Q}_p)$  is defined by  $\mathfrak{r}_{\mathbf{u}} = \mathfrak{r}_{\mathbf{u}}^{\beta\beta} \otimes q_{\beta\beta}$ . The lemma follows from the previous equation, Equation (21) and the identity  $\mathfrak{r}_{\mathbf{u}}(q_A)_f = \mathfrak{r}_{\mathbf{u}}^{\beta\beta}(q_A) \cdot c_p(f)$ ,  $\square$

**Lemma 2.11.** — Assume that either  $\mathfrak{L}_{\mathbf{f}}^{\text{an}} \neq \mathfrak{L}_{\mathbf{g}}^{\text{an}}$  or  $\mathfrak{L}_{\mathbf{f}}^{\text{an}} \neq \mathfrak{L}_{\mathbf{h}}^{\text{an}}$ , so that  $\mathfrak{r}_{\mathbf{u}}$  belongs to  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-)$  for  $\mathbf{u} = \mathbf{k}, \mathbf{l}, \mathbf{m}$  by Lemma 2.8. Then

$$\langle\langle \kappa(f, g_\alpha, h_\alpha), \cdot \rangle\rangle_{fgh} = \log_{\alpha\alpha}(\text{res}_p(\cdot)) \cdot \sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}}(e(1))_f \cdot (\mathbf{u} - u_o)$$

as  $\mathcal{I}/\mathcal{I}^2$ -valued  $L$ -linear forms on the Bloch–Kato Selmer group  $\text{Sel}(\mathbf{Q}, V)$ .

*Proof.* — Let  $y$  be a Selmer class in  $\text{Sel}(\mathbf{Q}, V)$ , and let  $\tilde{y} = \iota_{\text{ur}}(y)$  in  $\tilde{H}_f^1(\mathbf{Q}, V)$  be the corresponding class in the extended Selmer group (cf. Section 2.3 of [BSV21c]). By construction  $\tilde{y}^+$  belongs to the Bloch–Kato finite subspace of  $H^1(\mathbf{Q}, V^+)$ , and  $\text{res}_p(y) = i^+(\tilde{y}^+)$  is its image under the map  $i^+$  induced in cohomology by the inclusion  $V^+ \hookrightarrow V$ . Define  $\tilde{y}_{\alpha\alpha}^+$  in  $\mathbf{Z}_p^* \otimes_{\mathbf{Z}_p} L$  by the identity

$$\text{pr}_{\alpha\alpha}(\tilde{y}^+) = \tilde{y}_{\alpha\alpha}^+ \otimes (\eta_f \otimes \omega_{g_\alpha} \otimes \omega_{h_\alpha}),$$

in  $H_{\text{fin}}^1(\mathbf{Q}_p, V_{\alpha\alpha}^+) = H_{\text{fin}}^1(\mathbf{Q}_p, L(1)) \otimes_L V_{\alpha\alpha}^+(-1)$  (where as usual  $H_{\text{fin}}^1(\mathbf{Q}_p, L(1))$  is identified with  $\mathbf{Z}_p^* \otimes_{\mathbf{Z}_p} L$  via the local Kummer map). Then one has

$$\log_{\alpha\alpha}(\text{res}_p(y)) = \log_p(\tilde{y}_{\alpha\alpha}^+)$$

where  $\log_p$  is the  $L$ -linear extension of the  $p$ -adic logarithm on  $\mathbf{Z}_p^*$ . Write similarly

$$\mathfrak{r}_{\mathbf{u}} = \text{pr}_{\beta\beta}(\mathfrak{r}_{\mathbf{u}}) = \mathfrak{r}_{\mathbf{u}}^{\beta\beta} \otimes (\omega_f \otimes \eta_{g_\alpha} \otimes \eta_{h_\alpha})$$

in  $H^1(\mathbf{Q}_p, V_{\beta\beta}^-) = H^1(\mathbf{Q}_p, L) \otimes_L V_{\beta\beta}^-$  for some  $\mathfrak{r}_{\mathbf{u}}^{\beta\beta}$  in  $H^1(\mathbf{Q}_p, L)$ , so that

$$\langle\langle \mathfrak{r}_{\mathbf{u}}, \tilde{y}^+ \rangle\rangle_{\text{Tate}} = -\mathfrak{r}_{\mathbf{u}}^{\beta\beta}(\tilde{y}_{\alpha\alpha}^+) = -\log_p(\tilde{y}_{\alpha\alpha}^+) \cdot \mathfrak{r}_{\mathbf{u}}^{\beta\beta}(e(1)) = \log_{\alpha\alpha}(\text{res}_p(y)) \cdot \mathfrak{r}_{\mathbf{u}}(e(1))_f$$

by local class field theory. The statement then follows from Lemma 2.6.  $\square$

We can finally conclude the proof of Proposition 2.4.

*Proof of Proposition 2.4.* — To lighten the notation set  $\kappa_{\alpha\alpha} = \kappa(f, g_\alpha, h_\alpha)$ . By definition the extended height  $\tilde{h}_p^{\alpha\alpha}(\kappa_{\alpha\alpha} \otimes y)$  is equal (up to sign) to

$$\langle\langle q_{\alpha\alpha}, q_{\beta\beta} \rangle\rangle_{fgh} \cdot \langle\langle \kappa_{\alpha\alpha}, y \rangle\rangle_{fgh} - \langle\langle q_{\alpha\alpha}, \kappa_{\alpha\alpha} \rangle\rangle_{fgh} \cdot \langle\langle q_{\beta\beta}, y \rangle\rangle_{fgh} + \langle\langle q_{\beta\beta}, \kappa_{\alpha\alpha} \rangle\rangle_{fgh} \cdot \langle\langle q_{\alpha\alpha}, y \rangle\rangle_{fgh}$$

for each Selmer class  $y$  in  $\text{Sel}(\mathbf{Q}, V)$ . Since  $\kappa_{\alpha\alpha}$  is (the specialisation of) a balanced class, one has  $\log_{\alpha\alpha}(\text{res}_p(\kappa_{\alpha\alpha})) = 0$  (cf. Section 9.1 of [BSV21d]), hence  $\langle\langle q_{\beta\beta}, \kappa_{\alpha\alpha} \rangle\rangle_{\mathbf{fgh}}$  is equal to zero by Lemma 2.5. As a consequence

$$(22) \quad \tilde{h}_p^{\alpha\alpha}(\kappa_{\alpha\alpha} \otimes y) = \det \begin{pmatrix} \langle\langle q_{\alpha\alpha}, q_{\beta\beta} \rangle\rangle_{\mathbf{fgh}} & \langle\langle q_{\alpha\alpha}, \kappa_{\alpha\alpha} \rangle\rangle_{\mathbf{fgh}} \\ \langle\langle q_{\beta\beta}, y \rangle\rangle_{\mathbf{fgh}} & \langle\langle \kappa_{\alpha\alpha}, y \rangle\rangle_{\mathbf{fgh}} \end{pmatrix}.$$

Assume first  $\mathfrak{L}_f^{\text{an}} = \mathfrak{L}_g^{\text{an}} = \mathfrak{L}_h^{\text{an}}$ . Then  $\langle\langle q_{\alpha\alpha}, q_{\beta\beta} \rangle\rangle_{\mathbf{fgh}}$  is equal to zero by Lemma 2.5, so that Equation (22) and Lemmas 2.5 and 2.10 yield the equality (up to sign)

$$\begin{aligned} \tilde{h}_p^{\alpha\alpha}(\kappa_{\alpha\alpha} \otimes y) &= \langle\langle q_{\alpha\alpha}, \kappa_{\alpha\alpha} \rangle\rangle_{\mathbf{fgh}} \cdot \langle\langle q_{\beta\beta}, y \rangle\rangle_{\mathbf{fgh}} \\ &= \frac{m_p \cdot \text{ord}_p(q_A)}{2 \cdot \deg(\wp_\infty)} \cdot \log_{\alpha\alpha}(\text{res}_p(y)) \cdot (\mathbf{k} - \mathbf{l} - \mathbf{m}) \cdot \sum_{\mathbf{u}} \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o). \end{aligned}$$

Moreover one has (by definition)  $\ell_{\mathbf{k}} = -\ell_{\mathbf{l}} = -\ell_{\mathbf{m}}$ , hence

$$(\mathbf{k} - \mathbf{l} - \mathbf{m}) \cdot \sum_{\mathbf{u}} \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o) = \sum_{\mathbf{u}, \mathbf{v}} \tilde{\mathbf{D}}_{\mathbf{u}, \mathbf{v}} \cdot (\mathbf{u} - u_o)(\mathbf{v} - v_o).$$

Proposition 2.4 follows from the previous two equations and Lemmas 2.3 and 2.9.

Assume from now on that the analytic  $\mathcal{L}$ -invariants  $\mathfrak{L}_f^{\text{an}}$ ,  $\mathfrak{L}_g^{\text{an}}$  and  $\mathfrak{L}_h^{\text{an}}$  are not all equal. Then Equation (22), Lemma 2.5, Lemma 2.10 and Lemma 2.11 yield

$$(23) \quad \tilde{h}_p^{\alpha\alpha}(\kappa_{\alpha\alpha} \otimes y) = \frac{m_p \cdot \text{ord}_p(q_A)}{2 \cdot \deg(\wp_\infty)} \cdot \log_{\alpha\alpha}(\text{res}_p(y)) \cdot \det(\mathbf{H})$$

in  $\mathcal{S}^2/\mathcal{S}^3$  for each Selmer class  $y$  in  $\text{Sel}(\mathbf{Q}, V)$ , where

$$\mathbf{H} = \begin{pmatrix} (\mathfrak{L}_f^{\text{an}} - \mathfrak{L}_g^{\text{an}}) \cdot (\mathbf{l} - 1) + (\mathfrak{L}_f^{\text{an}} - \mathfrak{L}_h^{\text{an}}) \cdot (\mathbf{m} - 1) & -\sum_{\mathbf{u}} \ell_{\mathbf{k}}(\mathfrak{r}_{\mathbf{u}}) \cdot (\mathbf{u} - u_o) \\ \mathbf{l} + \mathbf{m} - \mathbf{k} & \sum_{\mathbf{u}} \mathfrak{r}_{\mathbf{u}}(e(1))_f \cdot (\mathbf{u} - u_o) \end{pmatrix}.$$

A direct computation gives

$$(24) \quad \det(\mathbf{H}) = -\sum_{\mathbf{u}, \mathbf{v}} \tilde{\mathbf{D}}_{\mathbf{u}, \mathbf{v}} \cdot (\mathbf{u} - u_o)(\mathbf{v} - v_o).$$

Proposition 2.4 follows from Equations (23) and (24) and Lemmas 2.3 and 2.9.  $\square$

**2.4. Heegner points and diagonal classes.** — Assume from now on

$$(25) \quad \text{ord}_{s=1} L(f \otimes g \otimes h, s) = 2$$

and that Assumption 1.2 (stated in Section 1) is satisfied.

For each finite order character  $\mu : G_K \rightarrow \mathbf{Q}(\varrho)^*$ , let  $\text{Ind}_K^{\mathbf{Q}} \mu$  be the  $\mathbf{Q}(\varrho)$ -module of functions  $c : G_{\mathbf{Q}} \rightarrow \mathbf{Q}(\varrho)$  satisfying  $c(\tau\sigma) = \mu(\tau) \cdot c(\sigma)$  for each  $\tau$  in  $G_K$  and  $\sigma$  in  $G_{\mathbf{Q}}$ , equipped with the action of  $G_{\mathbf{Q}}$  defined by  $(\sigma' \cdot c)(\sigma) = c(\sigma\sigma')$  for each  $\sigma$  and  $\sigma'$  in  $G_{\mathbf{Q}}$ . For  $\xi = g, h$ , the  $\mathbf{Q}(\varrho)[G_{\mathbf{Q}}]$ -module  $\text{Ind}_K^{\mathbf{Q}} \nu_{\xi}$  affords the representation  $\varrho_{\xi}$ . With the notations of Section 1 we can then take

$$V_{\xi} = \text{Ind}_K^{\mathbf{Q}} \nu_{\xi}.$$



One has an isomorphism of  $\mathbf{Q}(\varrho)[G_{\mathbf{Q}}]$ -modules

$$(26) \quad V_{gh} = V_g \otimes_{\mathbf{Q}(\varrho)} V_h \simeq \text{Ind}_K^{\mathbf{Q}} \varphi \oplus \text{Ind}_K^{\mathbf{Q}} \psi,$$

where  $\varphi = \nu_g \cdot \nu_h$  and  $\psi = \nu_g \cdot \nu_h^c$  are dihedral characters of  $K$  (cf. Section 1). The Artin formalism then yields the factorisation

$$(27) \quad L(f \otimes g \otimes h, s) = L(A/K, \varphi, s) \cdot L(A/K, \psi, s),$$

where  $L(A/K, \chi, s) = L(f \otimes \vartheta_\chi, s)$  is the Hasse–Weil  $L$ -function of the base change of  $A$  to  $K$  twisted by  $\chi = \varphi, \psi$  (viz. the Rankin–Selberg convolution of  $f$  and the weight-one theta series  $\vartheta_\chi$  associated with  $\chi$ ).

Let  $\chi$  denote either  $\varphi$  or  $\psi$ , let  $K_\chi$  be the ring class field of  $K$  cut out by  $\chi$ , and let  $A(K_\chi)^\chi$  be the submodule of  $A(K_\chi) \otimes_{\mathbf{Z}} \mathbf{Q}(\varrho)$  on which  $\text{Gal}(K_\chi/K)$  acts via  $\chi$ . Fix a primitive Heegner point  $P$  in  $A(K_\chi)$  and set

$$P_\chi = \sum_{\sigma \in \text{Gal}(K_\chi/K)} \chi(\sigma)^{-1} \cdot \sigma(P) \in A(K_\chi)^\chi.$$

Equations (25) and (27) and Assumption 1.1.(1) imply that  $L(A/K, \chi, s)$  has a simple zero at  $s = 1$ , hence the Gross–Zagier–Kolyvagin–Zhang theorem yields

$$(28) \quad P_\chi \neq 0 \quad \text{and} \quad A(K_\chi)^\chi \otimes_{\mathbf{Q}(\varrho)} L = L \cdot P_\chi = \text{Sel}(K_\chi, V_p(A))^\chi,$$

where  $\text{Sel}(K_\chi, V_p(A))$  is the Bloch–Kato Selmer group of the restriction of  $V_p(A)$  to  $G_{K_\chi}$ , one denotes by  $\text{Sel}(K_\chi, V_p(A))^\chi$  the submodule of  $\text{Sel}(K_\chi, V_p(A)) \otimes_{\mathbf{Q}_p} L$  on which the Galois group of  $K_\chi/K$  acts via the character  $\chi$ , and one considers  $A(K_\chi)^\chi$  as a submodule of  $\text{Sel}(K_\chi, V_p(A))^\chi$  via the  $K_\chi$ -rational Kummer map.

Let  $\sigma_p$  in  $G_{\mathbf{Q}} - G_K$  be an arithmetic Frobenius at  $p$ . For  $\xi = g, h$  and each pair  $(a, b)$  of elements of  $\mathbf{Q}(\varrho)$ , denote by  $[a, b]_\xi$  in  $V_\xi$  the  $\mathbf{Q}(\varrho)$ -valued function on  $G_{\mathbf{Q}}$  sending the identity to  $a$  and  $\sigma_p$  to  $b$ . Then  $G_K$  acts on the line  $L \cdot [1, 0]_\xi$  via  $\nu_\xi$ , and on the line  $L \cdot [0, 1]_\xi$  via the conjugate  $\nu_\xi^c$  of  $\nu_\xi$  by the nontrivial element  $c = \sigma_p|_K$  of  $\text{Gal}(K/\mathbf{Q})$ . Moreover, since  $\nu_\xi(\sigma_p^2) = \nu_\xi^{\text{cen}}(p) = \varepsilon_K(p) \cdot \chi_\xi(p) = -\chi_\xi(p) = \alpha_\xi^2$  (cf. Section 1), one has  $\sigma_p \cdot [a, b]_\xi = [b, \alpha_\xi^2 \cdot a]_\xi$  for each  $a$  and  $b$  in  $\mathbf{Q}(\varrho)$ . Set

$$v_{\xi, \alpha} = [1, \alpha_\xi]_\xi \in V_\xi^{\sigma_p = \alpha_\xi} \quad \text{and} \quad v_{\xi, \beta} = [1, -\alpha_\xi]_\xi \in V_\xi^{\sigma_p = \beta_\xi}.$$

(recall that  $\beta_\xi = -\alpha_\xi$ ), and for each pair  $(i, j)$  of elements of  $\{\alpha, \beta\}$  set

$$v_{ij} = v_{g, i} \otimes v_{h, j} \in V_g^{\sigma_p = i_g} \otimes_{\mathbf{Q}(\varrho)} V_h^{\sigma_p = j_h} \hookrightarrow V_{gh}^{\sigma_p = i_g \cdot j_h}.$$

A direct computation shows that the vectors

$$v_\varphi = v_{\alpha\alpha} + v_{\alpha\beta} + v_{\beta\alpha} + v_{\beta\beta} \quad \text{and} \quad v_\psi = v_{\alpha\alpha} - v_{\alpha\beta} + v_{\beta\alpha} - v_{\beta\beta}$$

of  $V_{gh}$  are equal to  $4 \cdot [1, 0]_g \otimes [1, 0]_h$  and  $4\alpha_\xi \cdot [1, 0]_g \otimes [0, 1]_h$  respectively, hence  $G_K$  acts on them via  $\varphi = \nu_g \cdot \nu_h$  and  $\psi = \nu_g \cdot \nu_h^c$  respectively. For  $\chi = \varphi, \psi$  define

$$P(\chi) = \gamma_{gh}(P_\chi \otimes \sigma_p(v_\chi) + \sigma_p(P_\chi) \otimes v_\chi)$$

in  $\text{Sel}(\mathbf{Q}, V)$  to be image of  $P_\chi \otimes \sigma_p(v_\chi) + \sigma_p(P_\chi) \otimes v_\chi$  in  $A(K_\varrho)^\varrho$  under the embedding  $\gamma_{gh}$  introduced in Equation (10), so that (cf. Equations (26) and (28))

$$(29) \quad \text{Sel}(\mathbf{Q}, V) = L \cdot P(\varphi) \oplus L \cdot P(\psi).$$

Write  $\varepsilon = \alpha_f$  and for  $\chi$  equal to  $\varphi$  or  $\psi$  define

$$P_\chi^\varepsilon = P_\chi + \varepsilon \cdot \sigma_p(P_\chi).$$

The point  $P_\chi^\varepsilon$  is non-zero. This follows from Equation (28) if  $\chi$  is not quadratic. When  $\chi$  is quadratic, one has  $\sigma_p(P_\chi) = \chi_1(p) \cdot P_\chi$ , hence  $P_\chi^\varepsilon$  is non-zero by Equation (28) and Assumption 1.2. In order to lighten the notation, set  $\kappa_{\alpha\alpha} = \kappa(f, g_\alpha, h_\alpha)$ . The main result Theorem A of [BSV21a] proves the identity

$$(30) \quad \log_{\beta\beta}(\text{res}_p(\kappa(f, g_\alpha, h_\alpha))) = \log_{\omega_f}(P_\varphi^\varepsilon) \cdot \log_{\omega_f}(P_\psi^\varepsilon) \in L^*/\mathbf{Q}(\varrho)^*.$$

Here  $\log_{\omega_f} : A(K_\chi) \otimes_{\mathbf{Z}} L \rightarrow L \otimes_{\mathbf{Q}_p} K_p$  denotes the  $L$ -linear extension of the logarithm  $\log_{\omega_f}$  on  $A(K_\chi)$  introduced in Section 2.1.4. (Note that the right hand side of the previous identity is an element of  $L \otimes_{\mathbf{Q}_p} K_p$  fixed by the action of  $\sigma_p$ , id est of  $L$ .)

Recall that the roots  $\alpha_\xi$  and  $\beta_\xi = -\alpha_\xi$  of the  $p$ -th Hecke polynomial of  $\xi = g, h$  are distinct, and that  $\alpha_g \cdot \alpha_h = \alpha_f = \beta_g \cdot \beta_h$  (cf. Equation (1)). We can then replace in the above constructions the Hida family  $\boldsymbol{\xi} = \boldsymbol{\xi}_\alpha$  with the one  $\boldsymbol{\xi}_\beta$  specialising to the  $p$ -stabilisation  $\xi_\beta(q) = \xi(q) - \alpha_\xi \cdot \xi(q^p)$  at weight one, for  $\xi = g, h$ . This produces a diagonal class  $\kappa(f, g_\beta, h_\beta)$  in the Selmer group  $\text{Sel}(\mathbf{Q}, W)$  of the  $p$ -adic representation  $W = V(\mathbf{f}, \mathbf{g}_\beta, \mathbf{h}_\beta) \otimes_{w_o} L$ . Fix an isomorphism of  $L[G_{\mathbf{Q}}]$ -modules  $\mu : W \simeq V$ , and let

$$\kappa_{\beta\beta} = \mu(\kappa(f, g_\beta, h_\beta)) \in \text{Sel}(\mathbf{Q}, V)$$

be the image of  $\kappa(f, g_\beta, h_\beta)$  under the isomorphism it induces in cohomology. The analogue of Equation (30) proves that the  $\alpha\alpha$ -logarithm of  $\kappa_{\beta\beta}$  is non-zero:

$$(31) \quad \log_{\alpha\alpha}(\text{res}_p(\kappa_{\beta\beta})) \in L^*.$$

Since by the definition of the balanced local condition (cf. Section 2.1.1) one has

$$(32) \quad \log_{\alpha\alpha}(\text{res}_p(\kappa_{\alpha\alpha})) = \log_{\beta\beta}(\text{res}_p(\kappa_{\beta\beta})) = 0,$$

it follows that the diagonal classes  $\kappa_{\alpha\alpha}$  and  $\kappa_{\beta\beta}$  are linearly independent, hence

$$(33) \quad \text{Sel}(\mathbf{Q}, V) = L \cdot \kappa_{\alpha\alpha} \oplus L \cdot \kappa_{\beta\beta}.$$

**2.4.1. Conclusion of the proof.** — Consider the  $L$ -basis (cf. Equations (6) and (8))

$$q_b = \frac{1}{\sqrt{m_p}} \cdot q(f) \otimes v_g^\alpha \otimes v_h^\alpha \quad \text{and} \quad q_{\mathfrak{h}} = \frac{1}{\sqrt{m_p}} \cdot q(f) \otimes v_g^\beta \otimes v_h^\beta$$

of  $H^0(\mathbf{Q}_p, V^-)$ , where  $v_\xi = \gamma_\xi(v_{\xi, \cdot})$  for  $\xi = g, h$  and  $\cdot = \alpha, \beta$ . It is the image of the  $\mathbf{Q}(\varrho)$ -basis  $\{q(A) \otimes v_{g, \alpha} \otimes v_{h, \alpha}, q(A) \otimes v_{g, \beta} \otimes v_{h, \beta}\}$  of  $\mathcal{Q}_p(A, \varrho)$  (cf. Equation (9)) under the isomorphism  $\mathcal{Q}_p(A, \varrho)_L \simeq H^0(\mathbf{Q}_p, V)$  arising from the modular parametrisation  $\wp_\infty$  fixed in Section 2.1.1 and the embeddings  $\gamma_g$  and  $\gamma_h$  fixed in Equation (8). Define  $\mathbf{M}$  and  $\mathbf{N}$  in  $\text{GL}_2(L)$  by the identities (cf. Equations (29) and (33))

$$\begin{pmatrix} \kappa_{\alpha\alpha} \\ \kappa_{\beta\beta} \end{pmatrix} = \mathbf{M} \begin{pmatrix} P(\chi) \\ P(\psi) \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} q_{\alpha\alpha} \\ q_{\beta\beta} \end{pmatrix} = \mathbf{N} \begin{pmatrix} q_b \\ q_{\mathfrak{h}} \end{pmatrix}.$$

By the definition of the  $p$ -adic regulator  $R_p^{\alpha\alpha}(A, \varrho)$  and Proposition 2.4 one has

$$(34) \quad R_p^{\alpha\alpha}(A, \varrho) = \frac{\log_{\alpha\alpha}^2(\text{res}_p(\kappa_{\beta\beta}))}{\det(\mathbf{M})^2 \cdot \det(\mathbf{N})^2} \cdot L_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{I}^5}$$

in the quotient of  $\mathcal{S}^4/\mathcal{S}^5$  by the multiplicative action of  $\mathbf{Q}(\varrho)^{*2}$ .

Set  $\hat{L} = L \otimes_{\mathbf{Q}_p} \hat{\mathbf{Q}}_p^{\text{nr}}$  and for  $\xi = g, h$  denote by

$$\hat{\pi}_\xi : V(\xi) \otimes_L V(\xi) \otimes_{\mathbf{Q}_p} \hat{\mathbf{Q}}_p^{\text{nr}} \longrightarrow \hat{L}$$

the  $\hat{\mathbf{Q}}_p^{\text{nr}}$ -base change of the perfect pairing  $\pi_\xi$  introduced in Section 2.1.1. Since

$$\hat{\pi}_g(\eta_{g_\alpha} \otimes \omega_{g_\alpha}) \cdot \hat{\pi}_h(\eta_{h_\alpha} \otimes \omega_{h_\alpha}) = G(\chi_g) \cdot G(\chi_h) = 1$$

(cf. Assumption 1.1.(2) and the definitions introduced in Section 2.1.2), one has

$$\mathbf{N} = \frac{1}{\sqrt{m_p}} \cdot \begin{pmatrix} \hat{\pi}_g(v_g^\alpha \otimes \eta_{g_\alpha}) \cdot \hat{\pi}_h(v_h^\alpha \otimes \eta_{h_\alpha}) & 0 \\ 0 & \hat{\pi}_g(v_g^\beta \otimes \omega_{g_\alpha}) \cdot \hat{\pi}_h(v_h^\beta \otimes \omega_{h_\alpha}) \end{pmatrix}$$

(in  $H^0(\sigma_p, \text{GL}_2(\hat{L})) = \text{GL}_2(L)$ ), hence

$$(35) \quad \det(\mathbf{N}) = m_p^{-1} \cdot \pi_g(v_g^\alpha \otimes v_g^\beta) \cdot \pi_h(v_h^\alpha \otimes v_h^\beta) \in \mathbf{Q}(\varrho)^*$$

by the normalisation imposed on the embeddings  $\gamma_g$  and  $\gamma_h$  (cf. Equation (8)).

According to Equations (30), (31) and (32) one has

$$\mathbf{M}^{-1} = \begin{pmatrix} \frac{\log_{\beta\beta}(P(\varphi))}{\log_{\beta\beta}(\kappa_{\alpha\alpha})} & \frac{\log_{\alpha\alpha}(P(\varphi))}{\log_{\alpha\alpha}(\kappa_{\beta\beta})} \\ \frac{\log_{\beta\beta}(P(\psi))}{\log_{\beta\beta}(\kappa_{\alpha\alpha})} & \frac{\log_{\alpha\alpha}(P(\psi))}{\log_{\alpha\alpha}(\kappa_{\beta\beta})} \end{pmatrix}$$

(where  $\log_{ii} : \text{Sel}(\mathbf{Q}, V) \longrightarrow L$ , for  $i = \alpha, \beta$ , is a shorthand for  $\log_{ii} \text{ ores}_p$ ). After retracing the definitions given in Section 2.4, a direct computation yields

$$\log_{\alpha\alpha}(P(\chi)) = \varepsilon \cdot \log_{\omega_f}(P_\chi^\varepsilon) \cdot \hat{\pi}_g(v_g^\alpha \otimes \eta_{g_\alpha}) \cdot \hat{\pi}_h(v_h^\alpha \otimes \eta_{h_\alpha})$$

(in  $H^0(\sigma_p, \hat{L}) = L$ , where as usual  $\chi$  denotes either  $\varphi$  or  $\psi$ ) and

$$\log_{\beta\beta}(P(\chi)) = \varepsilon_\chi \cdot \varepsilon \cdot \log_{\omega_f}(P_\chi^\varepsilon) \cdot \hat{\pi}_g(v_g^\beta \otimes \omega_{g_\alpha}) \cdot \hat{\pi}_h(v_h^\beta \otimes \omega_{h_\alpha}),$$

where  $\varepsilon_\varphi = 1$  and  $\varepsilon_\psi = -1$ . As a consequence

$$(36) \quad \frac{\log_{\alpha\alpha}(\kappa_{\beta\beta})}{\det(\mathbf{M})} = 2 \cdot \frac{\log_{\omega_f}(P_\varphi^\varepsilon) \cdot \log_{\omega_f}(P_\psi^\varepsilon)}{\log_{\beta\beta}(\kappa_{\alpha\alpha})} \cdot \pi_g(v_g^\alpha \otimes v_g^\beta) \cdot \pi_h(v_h^\alpha \otimes v_h^\beta) \in \mathbf{Q}(\varrho)^*$$

by Equation (30) and Equation (8).

Equations (34), (35) and (36) give the identity

$$L_p^{\alpha\alpha}(A, \varrho) \pmod{\mathcal{S}^5} = R_p^{\alpha\alpha}(A, \varrho)$$

in the quotient of  $\mathcal{S}^4/\mathcal{S}^5$  by the multiplicative action of  $\mathbf{Q}(\varrho)^{*2}$ . To conclude the proof of the Theorem stated in Section 1, it remains to prove that both sides of the previous identity are non-zero. This follows by combining Equation (30) with [BSV21d, Theorem A] and [BSV21a, Proposition 2.2], which prove the equality

$$\frac{\partial^2 \mathcal{L}_p^{\alpha\alpha}(A, \varrho)}{\partial k^2}(w_o) = c_p(f) \cdot \frac{\deg(\varrho_\infty)}{2m_p \text{ord}_p(q_A)} \left(1 - \frac{1}{p}\right)^{-1} \cdot \log_{\beta\beta}(\kappa_{\alpha\alpha}).$$

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