

## Stability sets and GIT-classes

Recall: Let  $X$  be a projective variety over an algebraically closed field  $k$ .

If  $L$  is a very ample line bundle on  $X$ , then  $X \cong \text{Proj}(\bigoplus_{n=0}^{\infty} H^0(X, L^{\otimes n}))$  and we have an embedding of  $X$  into a projective space  $\varphi: X \hookrightarrow \mathbb{P}(H^0(X, L))$  with the property that  $L = \varphi^*(\mathcal{O}(1))$ .

If  $L$  is ample, there is a similar embedding into  $\mathbb{P}(H^0(X, L^{\otimes n}))$  for a suitable  $n > 0$ .

Suppose that we now have a reductive algebraic group  $G$  acting on  $X$ . For simplicity, assume that  $L$  is very ample. The choice of a  $G$ -linearization for  $L$  corresponds to the choice of an action of  $G$  on  $H^0(X, L)$  in such a way that the embedding  $\varphi: X \hookrightarrow \mathbb{P}(H^0(X, L))$  is  $G$ -equivariant. We therefore obtain a linear action of  $G$  on the affine cone of  $X$ , i.e. on  $\tilde{X} = \{ \tilde{x} \in H^0(X, L) \setminus \{0\} \mid \pi(\tilde{x}) \in X \} \cup \{0\}$  (where  $\pi: H^0(X, L) \setminus \{0\} \rightarrow \mathbb{P}(H^0(X, L))$  is the canonical projection).

Given a  $G$ -linearized ample line bundle  $L$ , we have defined the GIT-quotient of  $X$  modulo  $G$  via  $L$  as  $X//_L G = \text{Proj}(\bigoplus_{n=0}^{\infty} H^0(X, L^{\otimes n})^G)$ , which comes with a rational map  $X \dashrightarrow X//_L G$ . The locus where this map is defined consists of the semistable points of  $X$  with respect to  $L$  and is denoted by  $X^{ss}(L)$ .

Given a 1-parameter subgroup of  $G$ , say  $\lambda: \mathbb{G}_{m,k} \rightarrow G$ , for every  $x \in X$  there exists  $x_0 = \lim_{t \rightarrow 0} \lambda(t).x$  in  $X$ , since  $X$  is proper. The point  $x_0$  is  $\lambda$ -invariant, so  $\mathbb{G}_{m,k}$  acts linearly on the fiber  $L|_{x_0}$ , according to the  $G$ -linearization that has been chosen for  $L$ . The weight of this action is denoted by  $\mu^L(x, \lambda)$ . Varying  $L$  gives a map  $\mu^*(x, \lambda): \text{Pic}^G(X) \rightarrow \mathbb{Z}$ .

Proposition: Let  $x \in X$ ,  $\tilde{x} \in \tilde{X}$  such that  $\pi(\tilde{x}) = x$ .

- 1)  $\mu^L(x, \lambda) < 0$  if and only if  $\lim_{t \rightarrow 0} \lambda(t).\tilde{x}$  exists and is zero.
- 2)  $\mu^L(x, \lambda) = 0$  if and only if  $\lim_{t \rightarrow 0} \lambda(t).\tilde{x}$  exists and is non-zero.
- 3)  $\mu^L(x, \lambda) > 0$  if and only if  $\lim_{t \rightarrow 0} \lambda(t).\tilde{x}$  does not exist.

Theorem (Hilbert-Mumford numerical criterion)

For every  $x \in X$  we have:

- 1)  $x$  is semistable for  $L$  if and only if  $\mu^L(x, \lambda) \geq 0$  for all 1-parameter subgroups  $\lambda$ .
- 2)  $x$  is stable for  $L$  if and only if  $\mu^L(x, \lambda) > 0$  for all 1-parameter subgroups  $\lambda$ .

Def.:  $\text{Pic}(X) = \{\text{line bundles on } X\} / \cong$ ,  $\text{Pic}^G(X) = \{\text{G-linearized line bundles on } X\} / \cong$

$\text{NS}(X) = \{\text{line bundles on } X\} / (\text{algebraic equivalence})$

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We will be interested in  $\text{NS}_Q^{(G)}(X) = \text{NS}(X) \otimes \mathbb{Q}$ ,  $\text{NS}_{\mathbb{R}}^{(G)}(X) = \text{NS}(X) \otimes \mathbb{R}$ .

There is an exact sequence  $0 \rightarrow \mathcal{E}^*(G)_{\mathbb{R}} \rightarrow \text{NS}^G(X)_{\mathbb{R}} \rightarrow \text{NS}(X)_{\mathbb{R}} \rightarrow 0$ , where  $\mathcal{E}^*(G) = \text{Hom}(G, G_m)$ .

The function  $\mu(x, \lambda) : \text{Pic}(X) \rightarrow \mathbb{Z}$  for a 1-parameter subgroup  $\lambda$  of  $G$  descends to a well-defined map  $\mu(x, \lambda) : \text{NS}(X) \rightarrow \mathbb{Z}$ , which we extend by linearity to  $\mu(x, \lambda) : \text{NS}^G(X)_{\mathbb{R}} \rightarrow \mathbb{R}$ .

For  $\ell \in \text{NS}^G(X)_{\mathbb{R}}$  we set  $M^{\ell}(x) = \inf_{\lambda} \frac{\mu^{\ell}(x, \lambda)}{\|\lambda\|}$ , which defines a concave and positively homogeneous function  $M^{\ell}(x) : \text{NS}^G(X)_{\mathbb{R}} \rightarrow \mathbb{R}$ . (here,  $\|\cdot\|$  is a fixed norm invariant under the action of the Weyl group)

We set  $X^{ss}(\ell) = \{x \in X \mid M^{\ell}(x) \geq 0\}$  and  $X^s(\ell) = \{x \in X \mid M^{\ell}(x) > 0\}$  for  $\ell \in \text{NS}^G(X)_{\mathbb{R}}$ .

Def.:  $\text{NS}^G(X)_{\mathbb{R}}^+ : \text{cone in } \text{NS}^G(X)_{\mathbb{R}}$  generated by the classes of ample  $G$ -linearized line bundles.

$C^G(X) = \{\ell \in \text{NS}^G(X)_{\mathbb{R}}^+ \mid X^{ss}(\ell) \neq \emptyset\}$  ( $G$ -ample cone).

We will also need the Hesselink stratification for proving finiteness results.

Given  $x \in X$ ,  $L$  an ample  $G$ -linearized line bundle, we say that a 1-parameter subgroup  $\lambda : G_m \rightarrow G$  is "adapted to  $L$  and  $x$ " if it is primitive and  $\frac{\mu^L(x, \lambda)}{\|\lambda\|} = M^L(x)$ .

$\Lambda^L(x) = \{\lambda : G_m \rightarrow G \mid \lambda \text{ is a 1-parameter subgroup adapted to } L \text{ and } x\}$ .

Proposition:  $\Lambda^L(x)$  is non-empty and any two elements of  $\Lambda^L(x)$  are conjugate by an element of a certain subgroup of  $G$  (defined in the previous talk)

For  $d < 0$ ,  $[\lambda]$  a  $G$ -conjugacy class of a 1-parameter subgroup of  $G$ , we define

$S_{d, [\lambda]}^L = \{x \in X \mid M^L(x) = d \text{ and } \Lambda^L(x) \cap [\lambda] \neq \emptyset\}$ .

This is a stratification by locally closed subsets of  $X^{ss}(L)$ . So  $X = X^{ss}(L) \cup \bigcup_{d, [\lambda]} S_{d, [\lambda]}^L$

Theorem: The set  $\{S_{d, [\lambda]}^L\}_{L, d, [\lambda]}$  is finite.

In particular, only finitely many open subsets of  $X$  can be realized as  $X^{ss}(L)$  for some  $G$ -linearized ample line bundle  $L$ .

## § The stability set of a point.

Let  $X$  be a projective variety over an algebraically closed field  $k$ .

Def: For every  $x \in X$  we define the "stability set of  $x$ " to be

$$\Omega(x) = \{ \ell \in NS^G(X)_R^+ \mid x \in X^{ss}(\ell) \} (= \{ \ell \in NS^G(X)_R^+ \mid H^\ell(x) \geq 0 \} = (H(x))^{-1}([0, +\infty)) ).$$

Goal: study the geometry of  $\Omega(x)$  for a fixed  $x \in X$

This means: fix  $x \in X$  and study its semistability with respect to a ( $R$ -linear combination of ample ( $G$ -linearized) line bundle  $\ell$  which varies in  $NS^G(X)_R^+$ .

We start with a technical lemma.

Lemma 1: Let  $\ell$  be a rational point in the  $G$ -ample cone (ie  $\ell \in C^G(X) \cap NS^G(X)_Q$ ).

Let  $x \in X^{ss}(\ell)$  and  $\lambda \in \mathbb{X}_*(G) = \text{Hom}(\mathbb{G}_m, G)$ . Set  $x_0 = \lim_{t \rightarrow 0} \lambda(t) \cdot x$ .

If  $x_0$  is unstable for  $\ell$ , then  $\mu^\ell(x, \lambda) > 0$ .

Proof: Since  $\ell$  is rational, we can write  $n \cdot \ell = \sum n_i \ell_i$  in  $NS^G(X)_Q$  where  $n, n_i \in \mathbb{Z}$ ,  $n \neq 0$  and  $\ell_i$  is (the class of) a  $G$ -linearized ample line bundle on  $X$ . The element  $\sum n_i \ell_i$  in  $NS^G(X)_Q$  corresponds to the class of the  $G$ -linearized ample line bundle  $L = \bigotimes_i \ell_i^{\otimes n_i}$ , so it actually belongs to  $NS^G(X)$ . Since  $X^{ss}(\ell) = X^{ss}(n \cdot \ell)$ , we may assume that  $n=1$  for our purposes. Thus, we have a  $G$ -equivariant embedding  $q: X \hookrightarrow \mathbb{P}(V)$  for some  $G$ -module  $V$  (more precisely,  $V = H^0(X, \ell)$  and the action of  $G$  on  $V$  depends on the  $G$ -linearization of  $\ell$ ) such that  $q^*(\mathcal{O}(1))$  is algebraically equivalent to  $\ell$ .

Assume that  $\mu^\ell(x, \lambda) \leq 0$ . Since  $x$  is  $\ell$ -semistable,  $\mu^\ell(x, \lambda) \geq 0$ , thus  $\mu^\ell(x, \lambda) = 0$ . Let  $\tilde{x} \in \tilde{X}$  be a point in the affine cone of  $X$  in  $V$  under  $q$ , chosen so that  $\tilde{x} \neq 0$  and  $\pi(\tilde{x}) = x$ . Since  $\mu^\ell(x, \lambda) = 0$ ,  $\tilde{x}_0 = \lim_{t \rightarrow 0} \lambda(t) \cdot \tilde{x}$  exists and it is non-zero. In particular,  $\tilde{x}_0$  lies in  $\overline{G\tilde{x}}$  (the closure of the  $G$ -orbit of  $\tilde{x}$  in  $V$ ). Since  $x$  is semistable, we have  $0 \notin \overline{Gx}$ . It follows that  $0 \notin \overline{G\tilde{x}_0}$ , so  $\tilde{x}_0$  is semistable for  $\ell$ . This contradicts the assumption on  $x_0$ .  $\square$

Proposition 2: Let  $x \in X$ . Then:

- 1)  $\Omega(x)$  is a convex cone and is closed in  $NS^G(X)_R^+$ ;
  - 2) the span of  $\Omega(x)$  in  $NS^G(X)_R$  is a rational vector subspace of  $NS^G(X)_R$ .
- In particular,  $\Omega(x)$  is the closure of its rational points.

Proof: 1) The function  $M^*(x): NS^G(X)_R^+ \rightarrow \mathbb{R}$  is convex and positively homogeneous, in particular also continuous. Since  $\Omega(x) = (M^*(x))^{-1}([0, +\infty))$ , concavity of  $M^*(x)$  implies the convexity of  $\Omega(x)$ , the positive homogeneity of  $M^*(x)$  shows that  $\Omega(x)$  is a cone and continuity thereof ensures that  $\Omega(x)$  is closed in  $NS^G(X)_R^+$ .

2) Let  $F \subseteq NS^G(X)_R$  be the minimal rational vector subspace of  $NS^G(X)_R^+$  such that  $\Omega(x) \subseteq F$ . Suppose that  $\Omega(x)$  does not span  $F$ . Since  $\Omega(x)$  is convex, it follows that the interior of  $\Omega(x)$ , as a subset of  $F$ , is empty. This implies that  $M^\ell(x) = 0$  for every  $\ell \in \Omega(x)$ . Indeed, if  $\ell \in \Omega(x)$  and  $M^\ell(x) > 0$ , then  $(M^*(x))^{-1}((0, \infty))$  is a non-empty open subset of  $F$  contained in  $\Omega(x)$ , which is not possible.

Let  $\ell \in \Omega(x)$ . We may find a sequence  $\{\ell_n\}_n$  of points in  $F \setminus \Omega(x)$  which tends to  $\ell$ , again because  $\Omega(x)$  has empty interior. Since  $F$  is rational, we may assume that the  $\ell_n$ 's are rational.

We know that the strata  $\{S_{d, \ll}\}_{d, \ll}$  of the Hesselink stratification of  $X$  are only finitely many. Up to passing to a subsequence of  $\{\ell_n\}_n$ , we may assume that all  $\ell_n$ 's induce the same stratification. Notice that for every  $\ell_n$  we have  $M^{\ell_n}(x) < 0$  (otherwise we would have  $\ell_n \in \Omega(x)$ ), so there is a non-open stratum  $S$  in the chosen stratification which contains  $x$ . Let  $\lambda_0 \in \Lambda^{\ell_0}(x)$ , so that  $M^{\ell_0}(x, \lambda_0) = \frac{\mu^\ell(x, \lambda_0)}{\|\lambda_0\|}$ , and set  $y = \lim_{t \rightarrow 0} \lambda(t).x$ . We have  $\lambda_0 \in \Lambda^{\ell_0}(y)$  and  $M^{\ell_0}(x) = M^{\ell_0}(y)$  (reference in the paper), hence  $y \in S$ . Since  $S$  is in the stratification of all the  $\ell_n$ 's, it follows that  $M^{\ell_n}(x) = M^{\ell_n}(y)$ . By continuity of  $M^*(x)$ , here we have  $M^\ell(y) = M^\ell(x) = 0$ .

Since  $\lambda_0$  fixes  $y$ , the weights of both  $\lambda_0$  and  $-\lambda_0$  on  $L|_y$  appear in  $M^\ell(y)$ , and  $\mu^\ell(y, -\lambda_0) = -\mu^\ell(y, \lambda_0)$ . Thus  $\mu^\ell(x, \lambda_0) = \mu^\ell(y, \lambda_0) = 0$  since  $M^\ell(y) = 0$ . But  $\mu^\ell(x, \lambda_0) < 0$ , so  $\mu^\ell(x, \lambda_0)$  is not identically zero on  $F$ . Thus,  $\{\mu^\ell(x, \lambda) = 0\}$  is a hyperplane of  $F$  containing  $\ell$ .

Summing up, we have proved that every point  $\ell \in \Omega(x)$  is contained in a hyperplane of  $F$  of the form  $\{\mu^\ell(x, \lambda) = 0\}$  for some 1-parameter subgroup  $\lambda$  of  $G$ .

Now, each function  $\mu^\ell(x, \lambda)$  is a linear function on  $NS^G(X)_Q$  with values in  $\Omega$ , and there are only countably many linear maps  $NS^G(X)_Q \rightarrow \Omega$ . As a result, the set of the functions  $\mu^\ell(x, \lambda)$  for  $\lambda$  running through all 1-parameter subgroups of  $G$  is countable.

By what we have shown before,  $\Omega(x)$  lies in the union of countably many hyperplanes of  $F$ . However,  $\Omega(x)$  is convex: given  $\ell_1, \ell_2 \in \Omega(x)$ , the segment from  $\ell_1$  to  $\ell_2$  is contained in  $\Omega(x)$ . A linear subspace of  $F$  either contains this segment or intersects this segment in at most one

point. Since said segment is contained in a countable union of hyperplanes of  $F$ , one of these hyperplanes must contain it completely. This applies to all segments of  $\Omega(x)$ , so we see that  $\Omega(x)$  must be contained in a rational hyperplane of  $F$ . However, this contradicts the minimality of  $F$ .  $\square$

Corollary 3: There are only finitely many stability sets.

Proof: If  $\ell_1$  and  $\ell_2$  are GIT-equivalent (i.e.  $X^{ss}(\ell_1) = X^{ss}(\ell_2)$ ), it is clear that  $\Omega(x)$  is a union of GIT classes. On the other hand, there are only finitely many open subsets of  $X$  which can be realized as  $X^{ss}(L)$  for some  $L \in NS^G(X)$ . It follows that there are only finitely many sets of the form  $\Omega(x) \cap NS^G(X)_Q$ . Proposition 2 shows that  $\Omega(x) = \overline{\Omega(x) \cap NS^G(X)_Q}$ , hence the statement.  $\square$

Corollary 4: The  $G$ -ample cone  $C^G(X)$  is closed in  $NS^G(X)_R^+$ .

Proof: We have  $C^G(X) = \bigcup_{x \in X} \Omega(x)$ . By Proposition 2 each  $\Omega(x)$  is closed and by Corollary 3 the number of the  $\Omega(x)$  for  $x \in X$  is finite.  $\square$

We now turn to the study of the geometry of stability sets.

Lemma 5: Let  $x \in X$ ,  $z \in \overline{G \cdot x} \setminus G \cdot x$ . Assume that there is a rational point  $\ell_0 \in C^G(X)$  such that  $G \cdot z$  is closed in  $X^{ss}(\ell_0)$ . Then, there exists  $\lambda \in \mathbb{X}_*(G) = \text{Hom}(G_m, G)$  such that:

- 1)  $\lim_{t \rightarrow 0} \lambda(t) \cdot x \in G \cdot z$ ;
- 2)  $\Omega(x) \subseteq \{ \ell \in NS^G(X)_R \mid \mu^\ell(x, \lambda) \leq 0 \}$ ;
- 3)  $\Omega(z) = \{ \ell \in NS^G(X)_R \mid \mu^\ell(x, \lambda) = 0 \} \cap \Omega(x)$ .

Proof: As we have seen in Lemma 1, we may assume that  $\ell_0$  is a very ample line bundle.

- 1) This was (the difficult) part of the proof of Hilbert-Mumford criterion.
- 2) Clear from the definition of  $\Omega(x)$ .
- 3) Let us call  $z' = \lim_{t \rightarrow 0} \lambda(t) \cdot x \in G \cdot z$ . In particular, the image of  $\lambda$  fixes  $z'$ . If  $\ell \in \Omega(z')$ , then  $z' \in X^{ss}(\ell)$ ; both  $\lambda$  and  $-\lambda$  fix  $z'$ , because  $\lim_{t \rightarrow 0} -\lambda(t) \cdot z = \lim_{t \rightarrow \infty} \lambda(t) \cdot z = z'$ . The actions of  $\lambda$  and  $-\lambda$  on the same fiber are inverse to each other, so we have  $\mu^\ell(z, -\lambda) = -\mu^\ell(z, \lambda)$ . But since  $z' \in X^{ss}(\ell)$ , we have both  $\mu^\ell(z', \lambda) \geq 0$  and  $\mu^\ell(z', -\lambda) \geq 0$ , which implies  $\mu^\ell(z', \lambda) = 0$ . We have shown that if  $\ell \in \Omega(z')$ , then  $\mu^\ell(z', \lambda) = 0$ , so

$\Omega(z') \subseteq \{l \in \text{NS}^G(X)_{\mathbb{R}} \mid \mu^l(z', \lambda) = 0\}$ . Now, since  $M^l(-)$  is  $G$ -invariant and  $z' \in G \cdot z$ , we see that  $\Omega(z) = \Omega(z')$ . Also,  $\mu^l(x, \lambda) = \mu^l(z', \lambda)$  because  $\lim_{t \rightarrow 0} \lambda(t) \cdot x = z'$  and  $\mu^l(x, \lambda)$  is precisely the weight of  $\lambda$  on the fiber of  $l$  over such limit (when  $l$  is a line bundle... otherwise we need to extend by linearity). These observations lead us to:  
 $\Omega(z) \subseteq \{l \in \text{NS}^G(X)_{\mathbb{R}} \mid \mu^l(x, \lambda) = 0\}$ .

Let us now take  $l \in \Omega(z) \cap \text{NS}^G(X)_{\mathbb{Q}}$ , so a rational point in the stability set of  $l$ .  $X^{ss}(l)$  is open and  $z \in \overline{G \cdot x}$ , so  $X^{ss}(l) \cap G \cdot z \neq \emptyset$ , and by  $G$ -invariance of  $X^{ss}(l)$ , we have  $l \in \Omega(x)$ .

By Proposition 2,  $\Omega(z)$  is the closure of its rational points and  $\Omega(x)$  is closed, so we have  $\Omega(z) \subseteq \Omega(x)$ . By what we have shown before, we see that

$\Omega(z) \subseteq \{l \in \text{NS}^G(X)_{\mathbb{R}} \mid \mu^l(x, \lambda) = 0\} \cap \Omega(x)$ . Let us prove the converse inclusion.

Let us fix a rational point  $l \in \Omega(x)$  such that  $\mu^l(x, \lambda) = 0$ . By Lemma 1,  $z$  cannot be unstable for  $l$ , so it is semistable. This means that  $l \in \Omega(z)$ . We have therefore shown that the rational points of  $\{l \mid \mu^l(x, \lambda) = 0\} \cap \Omega(x)$  are contained in  $\Omega(z)$ . However, these points are dense  $\{l \mid \mu^l(x, \lambda) = 0\} \cap \Omega(x)$  (for they are in  $\Omega(x)$ , and this set is the intersection thereof with a rational hyperplane). Since  $\Omega(z)$  is closed, the claim follows.  $\square$

Def.: A polyhedral cone in  $\text{NS}^G(X)_{\mathbb{R}}^+$  is a subset of  $\text{NS}^G(X)_{\mathbb{R}}^+$  defined by a finite number of linear inequalities. A polyhedral cone is said "rational" if these inequalities are defined over the rationals.

Let  $C$  be a polyhedral cone,  $f$  is a linear form on  $\text{NS}^G(X)_{\mathbb{R}}^+$  such that  $f(c) \geq 0$  for all  $c \in C$ . Then  $\{c \in C \mid f(c) = 0\}$  is a "face" of  $C$ .

(Notice that also  $C$  is a face of  $C$  itself).

Proposition 6: Let  $x \in X$ .

- 1) The stability set of  $x$  is a convex rational polyhedral cone in  $\text{NS}^G(X)_{\mathbb{R}}^+$ .
- 2) The faces of  $\Omega(x)$  are exactly the sets  $\Omega(y)$  with  $y \in \overline{G \cdot x}$ .
- 3) There exists  $y \in \overline{G \cdot x}$  such that  $\Omega(y) = \Omega(x)$  and  $y$  satisfies the following property:  $l \in \text{NS}^G(X)_{\mathbb{R}}$  belongs to the relative interior of  $\Omega(y)$  if and only if  $y$  is polystable for  $l$  (i.e.  $G \cdot y$  is closed in  $X^{ss}(l)$ )

Proof: 1) Let us start by fixing a rational point  $l_0 \in \Omega(x)$  which lies in the relative interior of  $\Omega(x)$ . By definition of  $\Omega(x)$ ,  $X^{ss}(l_0) + \phi$ , so we obtain a nonempty GIT quotient  $X \mathbin{\!/\mkern-5mu/\!}_{l_0} G$  (as usual, since  $l_0$  is a rational point of  $NS^G(X)$ , for our purposes we may assume that  $l_0$  is actually the class of a very ample line bundle over  $X$ , as we have explained in the proof of Lemma 1).

We know that the quotient map  $\varphi: X^{ss}(l_0) \rightarrow X \mathbin{\!/\mkern-5mu/\!}_{l_0} G$  is a good quotient. Given  $x \in X$ , the set  $\varphi^{-1}(\varphi(x))$  consists of  $G$ -orbits, only one of which is closed in  $X^{ss}(l_0)$  (because  $\varphi$  separates  $G$ -invariant closed subsets of  $X$ ). Let us say that this orbit is the one of some  $y \in X$ . Thus,  $y \in \overline{Gx}$  and  $y$  is polystable for  $l_0$ .

If  $y \in Gx$ , then  $\Omega(y) = \Omega(x)$ , since the function  $\mu^l(-)$  are  $G$ -invariant for every  $l \in NS^G(X)$ . If  $y \notin Gx$ , then  $y \in \overline{Gx} \setminus Gx$  and by lemma 5  $\Omega(y)$  is the intersection of  $\Omega(x)$  with  $\{l \in NS^G(X) \mid \mu^l(x, \lambda) = 0\}$  for a fixed one parameter subgroup  $\lambda$ .

If  $l \in \Omega(x)$ , then  $\mu^l(x, \lambda) \geq 0$ , so  $\mu(x, \lambda)$  is non-negative on  $\Omega(x)$ . This means that  $\Omega(y)$  is a face of the cone  $\Omega(x)$ .

On the other hand,  $l_0$  had been chosen in the relative interior of  $\Omega(x)$ , and  $l_0 \in \Omega(y)$ , so we must have  $\Omega(y) = \Omega(x)$ . This argument shows that we may always replace  $x$  by some point  $y \in \overline{Gx}$  which is polystable with respect to some  $l \in NS^G(X)$  in the relative interior of  $\Omega(x)$ .

By lemma 5, the sets  $\Omega(z)$  for  $z \in \overline{Gy}$  are all faces of the cone  $\Omega(y)$ .

Claim: The relative boundary of  $\Omega(y)$  is the union of its faces of the form  $\Omega(z)$  for some  $z \in \overline{Gy}$ .

Indeed, let  $l \in \Omega(y)$  be a point in the relative boundary of  $\Omega(y)$ . As we have seen in Proposition 2, we may find a sequence of rational points  $\{l_n\}_n$  in the vector space spanned by  $\Omega(y)$  which converge to  $l$ , but such that  $l_n \notin \Omega(y)$ ; once again, we may assume that all the  $l_n$ 's induce the same stratification. Choose  $\lambda_l \in \Lambda^l(y)$  and set  $z_l = \lim_{t \rightarrow 0} \lambda_l(t).y$ .

Then  $z_l \in \overline{Gy}$  and, exactly as in the proof of Proposition 2, we have that  $l \in \Omega(z_l)$  and that  $\Omega(z_l)$  is contained in the hyperplane  $\{\mu(x, \lambda_l) = 0\}$ , whereas  $\Omega(y)$  is not.

This means that every point  $l$  in the relative boundary of  $\Omega(y)$  is contained in a proper face of  $\Omega(y)$  of the form  $\Omega(z_l)$  for some  $z_l \in \overline{Gy}$ .

By Corollary 1, we know that the sets of the form  $\Omega(z)$  for  $z \in X$  are only finitely many. Moreover, if  $z \in \overline{G \cdot y} \setminus G \cdot y$ , then by Lemma 5  $\Omega(z)$  is a proper face of  $\Omega(y)$ . The above claim thus shows that  $\Omega(y)$  consists of only finitely many faces, so  $\Omega(y)$  is a rational polyhedral cone in  $NS^G(X)_{\mathbb{R}}^+$ .

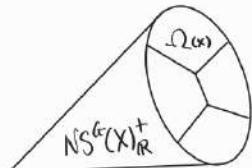
- 2) We wish to prove that every face of  $\Omega(y)$  is of the form  $\Omega(z)$  for some  $z \in \overline{G \cdot y}$ . We have seen that any face of  $\Omega(y)$  is covered by sets of the form  $\Omega(z)$  for some  $z \in \overline{G \cdot y}$ . If a face has codimension 1 in  $\Omega(y)$ , then it must be of the form  $\Omega(z)$  for some  $z \in \overline{G \cdot y}$ . Then one may argue by induction.
- 3) Suppose that  $l \in NS^G(X)_{\mathbb{R}}$  belongs to the relative boundary of  $\Omega(y)$ . Then in point (1) we have found  $z_l \in \overline{G \cdot y} \setminus G \cdot y$  such that  $l \in \Omega(z_l)$ , i.e.  $z_l \in (\overline{G \cdot y} \setminus G \cdot y) \cap X^{ss}(l)$ . This tells us that  $G \cdot y$  cannot be closed in  $X^{ss}(l)$ , i.e.  $y$  is not polystable for  $l$ . Conversely, let  $l' \in \Omega(y)$  be such that  $G \cdot y$  is not closed in  $X^{ss}(l')$ . This means that we can find  $z' \in (\overline{G \cdot y} \setminus G \cdot y) \cap X^{ss}(l')$ . On the other hand,  $G \cdot y$  is closed in  $X^{ss}(l_0)$ , so  $z'$  cannot belong to  $X^{ss}(l_0)$ , which implies that  $\Omega(z')$  is a proper face of  $\Omega(y)$ . But  $l' \notin \Omega(z')$ , so  $l$  is not in the interior of  $\Omega(y)$ .  $\square$

Remark: For every  $x \in X$ ,  $\Omega(x)$  is a polyhedral cone inside  $NS^G(X)_{\mathbb{R}}^+$ , but not necessarily inside  $NS^G(X)_{\mathbb{R}}$ . Recall that we have an exact sequence

$$0 \rightarrow \mathcal{X}^*(G)_{\mathbb{R}} \rightarrow NS^G(X)_{\mathbb{R}} \rightarrow NS(X)_{\mathbb{R}} \rightarrow 0.$$

Notice that  $NS^G(X)_{\mathbb{R}}^+$  is precisely the preimage of the ample cone  $Amp(X) \subseteq NS(X)_{\mathbb{R}}$  in  $NS^G(X)_{\mathbb{R}}$ . Thus,  $NS^G(X)_{\mathbb{R}}^+ = Amp(X) \times \mathcal{X}^*(G)_{\mathbb{R}}$ .

If  $E$  is a general elliptic curve, then  $X = E \times E$  has an ample cone which is circular, so it cannot be a polyhedral cone overall. Only the internal faces of the  $\Omega(x)$ 's will be polyhedral cones.



## § An example with toric varieties.

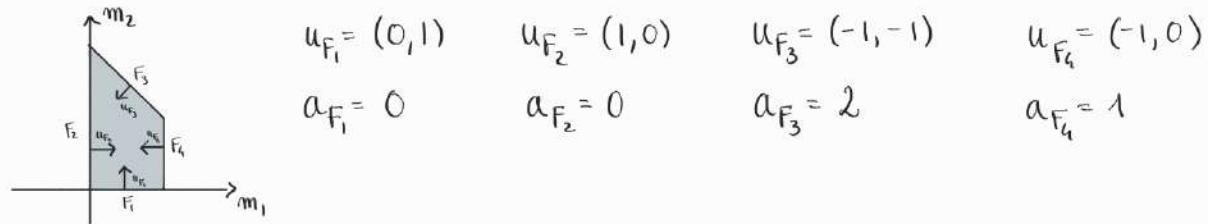
Let us fix a lattice  $M$  of rank  $n$ ,  $M_{\mathbb{R}} = M \otimes \mathbb{R}$ , and let  $P$  be a polyhedron in  $M_{\mathbb{R}}$ . In the previous talks, we have associated to  $P$  a toric variety  $X_P$  to  $P$ , which comes with an action of a torus  $T$  such that  $\mathcal{X}^*(T) = M$ .

Some facts known from the previous talks:

- $P$  is determined by a collection of vectors  $u_F \in M^\vee = \mathcal{X}_*(T)$  and real numbers  $a_F \in \mathbb{R}$ , one for each codimension-1 face  $F$  of  $P$ . Then

$$P = \{m \in M \mid \langle m, u_F \rangle \geq -a_F \text{ for all } F \in P(1)\}, \text{ where } P(1) = \{\text{codim.-1 faces of } P\}.$$

Example:



- The  $T$ -invariant prime divisors of  $X_P$  are in bijection with the codim.-1 faces of  $P$ .

For  $F \in P(1)$ , we denote by  $D_F$  the corresponding divisor.

- There is an exact sequence  $0 \longrightarrow M \longrightarrow \mathbb{Z}^{P(1)} \longrightarrow \text{Pic}(X) \longrightarrow 0$

$$m \mapsto \sum \langle m, u_F \rangle D_F$$

Example: (continuing the example above)

A basis for  $M$  is given by  $e_1 = (1, 0)$  and  $e_2 = (0, 1)$ . The map  $M \rightarrow \mathbb{Z}^{P(1)}$  sends  $e_1$  to  $D_{F_2} - D_{F_3} - D_{F_4}$  and  $e_2$  to  $D_{F_1} - D_{F_3}$ . Thus,  $\text{Pic}(X)$  is freely generated by  $[D_{F_1}]$  and  $[D_{F_2}]$ , and we have  $[D_{F_3}] = [D_{F_1}]$  and  $[D_{F_4}] = [D_{F_2}] - [D_{F_1}]$ .

Let  $G \subseteq T$  be a subtorus and set for short  $\widehat{G} = \mathcal{X}^*(G)$ . Define  $T' = T/G$  and  $M' = \mathcal{X}^*(T')$ . The exact sequence  $1 \rightarrow G \rightarrow T \rightarrow T' \rightarrow 0$  yields a short exact sequence  $0 \rightarrow M' \rightarrow M \rightarrow \widehat{G} \rightarrow 0$ .

Since  $X_P$  is toric, then  $\text{NS}(X_P) = \text{Pic}(X_P)$ . Thus, one can check that the two exact sequences  $0 \rightarrow M \rightarrow \mathbb{Z}^{P(1)} \rightarrow \text{Pic}(X_P) \rightarrow 0$  and  $0 \rightarrow M = \mathcal{X}^*(T) \rightarrow \text{NS}^T(X_P) \rightarrow \text{NS}(X_P) \rightarrow 0$  agree, so  $\text{NS}^T(X_P) \cong \mathbb{Z}^{P(1)}$ .

We want to understand  $\text{NS}^G(X_P)$ . We know that it fits into an exact sequence  $0 \rightarrow \widehat{G} \rightarrow \text{NS}^G(X_P) \rightarrow \text{NS}(X_P) \rightarrow 0$ . By comparing this with the above exact sequences, we obtain the following commutative diagram:

$$\begin{array}{ccccccc}
& & 0 & & 0 & & \\
& & \downarrow & & \downarrow & & \\
M' & \xlongequal{\quad} & M' & & & & \\
& & \downarrow & & \downarrow & & \\
0 & \longrightarrow & M & \longrightarrow & \mathbb{Z}^{P(1)} - NS^T(X_p) & \longrightarrow & NS(X_p) \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \parallel \\
0 & \longrightarrow & \widehat{G} & \longrightarrow & NS^G(X_p) & \longrightarrow & NS(X_p) \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \\
& & 0 & & 0 & & 
\end{array}$$

$$\text{As a result, } NS^G(X_p) \cong \mathbb{Z}^{P(1)} / M'.$$

This means that the choice of a  $G$ -linearized line bundle on  $X_p$  is equivalent to the choice of a  $T$ -invariant divisor up to elements of  $M'$ .

Given a  $T$ -invariant divisor  $D$ , let  $\mathcal{O}_X(D)$  denote the corresponding  $G$ -linearization via  $\mathbb{Z}^{P(1)} / M' \cong NS^G(X_p)$ . We may define a new polytope in  $M_{\mathbb{R}}$  as follows. If  $D = \sum_{F \in P(1)} c_F D_F$ , we set  $P_D = \{m \in M_{\mathbb{R}} \mid \langle m, u_F \rangle \geq -c_F \text{ for every } F \in P(1)\}$ . We may then intersect  $P_D$  with  $(M')_{\mathbb{R}}$  to obtain a polytope  $P_D \cap (M')_{\mathbb{R}}$  in  $(M')_{\mathbb{R}} = \mathbb{X}^*(T')_{\mathbb{R}}$ . To this polytope we can associate the toric variety  $X_{P_D \cap M_{\mathbb{R}}}$ , which comes with an action of  $T' = T/G$ .

$$\text{Proposition: } X_P //_{\mathcal{O}_X(D)} G = X_{P_D \cap M'}$$

This proposition tells us how to recover the GIT quotient  $X //_{\mathcal{O}_X(D)} G$  for every point  $[D]$  in  $NS^G(X) \cong \mathbb{Z}^{P(1)} / M'$ . By looking at which points have non-empty quotient, one can recover  $C^G(X)$ . How do we see  $\Omega(x)$  in  $NS^G(X) \cong \mathbb{Z}^{P(1)} / M'$ ? For this, we need "virtual facets".

Given  $D \in NS^G(X)$ , say  $D = \sum_{F \in P(1)} c_F D_F$ , and  $F' \in P(1)$ , we set  $\mathcal{F}_{F', D} = P_D \cap M' \cap \{m \in M_{\mathbb{R}} \mid \langle m, u_{F'} \rangle = -c_{F'}\}$ .

$$\text{Two talks ago we saw that } (X_p)^{us}(\mathcal{O}_X(D)) = \bigcup_{I \in P(1)} \bigcap_{F \in I} D_F. \\ \bigcap_{F' \in I} \mathcal{F}_{F', D} = \emptyset$$

To put it more simply,  $D_F \subseteq (X_p)^{us} \Leftrightarrow \mathcal{F}_{F, D} = \emptyset$ , then

$D_{F_1} \cap D_{F_2} \subseteq (X_p)^{us} \Leftrightarrow \mathcal{F}_{F_1, D} \cap \mathcal{F}_{F_2, D} = \emptyset$  and so on. (containment is intended generically).

We can read this result from the point of view of a single point  $x \in X$ .

Given  $x \in \bigcap_{F \in I} D_F$  general, we have

$$\begin{aligned} Q(x) &= \{[\mathcal{O}_X(D)] \mid x \in X^{ss}(\mathcal{O}_X(D))\} = \{[\mathcal{O}_X(D)] \mid \bigcap_{F \in I} \mathcal{F}_{F,D} \neq \emptyset\} = \\ &= \text{Cone}([\mathcal{D}_F] \mid F \in P(1), F \notin I). \end{aligned}$$

Let us put this in practice.

Example:

Let  $M = \mathbb{Z}^4$ ,  $M_{\mathbb{R}} = \mathbb{R}^4$ ,  $P = [0, +\infty)^4$ , so  $X_P = \mathbb{A}^4$ .

$P$  has four faces, with normal vectors  $u_{F_1} = (1, 0, 0, 0)$ ,  $u_{F_2} = (0, 1, 0, 0)$ ,  $u_{F_3} = (0, 0, 1, 0)$ ,  $u_{F_4} = (0, 0, 0, 1)$ . For all  $F \in P(1)$  we have  $a_F = 0$ . Thus

$$P = \{m \in M_{\mathbb{R}} \mid \langle m, u_{F_i} \rangle \geq -a_{F_i} \forall i=1, \dots, 4\} = \{m = (m_1, m_2, m_3, m_4) \in \mathbb{R}^4 \mid m_i \geq 0 \forall i=1, \dots, 4\}$$

We have an action of  $T = \mathbb{G}_m^4$  on  $X_P$ . Consider the subgroup  $G$  of  $T$  defined by the embedding  $G = \mathbb{G}_m^2 \hookrightarrow T$ ,  $(s, t) \mapsto (s, ts^{-1}, t, ts^{-1})$ .

Let us compute  $M' = \mathbb{X}^*(T/G)$ . We have an exact sequence  $0 \rightarrow M' \rightarrow M \rightarrow \widehat{G} \rightarrow 0$ ,

so  $M'$  is the kernel of the map  $M \rightarrow \widehat{G}$ . Given  $m = (m_i)_{i=1 \dots 4} \in M$ , this

corresponds to the map  $T \rightarrow \mathbb{G}_m^4$ ,  $(t_1, \dots, t_4) \mapsto \prod_{i=1}^4 t_i^{m_i}$ . By pre-composing with  $G \hookrightarrow T$ , one obtains the character of  $G$  given by  $G \rightarrow \mathbb{G}_m^2$ ,  $(s, t) \mapsto s^{m_1} t^{m_2} s^{-m_2} t^{m_3} t^{m_4} s^{-m_4}$ ,

so the map  $M \rightarrow \widehat{G}$  is given by  $(m_1, m_2, m_3, m_4) \mapsto (m_1 - m_2 - m_4, m_2 + m_3 + m_4)$ .

The kernel of this map is generated by the vectors  $\left\langle \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \right\rangle$ .  $m_3 = -m_1$   
 $m_4 = m_1 - m_2$

We have seen that  $NS^G(X_P) = \mathbb{Z}^{P(1)} / M' = \mathbb{Z}^4 / \langle (10-11), (010-1) \rangle$ . A basis for  $NS^G(X_P)$  is therefore given by the image  $[D_1]$  and  $[D_3]$  of the vectors  $(1000)$  and  $(0010)$  in  $\mathbb{Z}^{P(1)}$ .

Let us compute the GIT-quotient for each point of  $NS^G(X)$ .

Fix  $D_{a,b} = aD_1 + bD_3$ . Every element of  $NS^G(X)$  can be written uniquely in the form  $[D_{a,b}]$  for some  $a, b \in \mathbb{Z}$ . We have

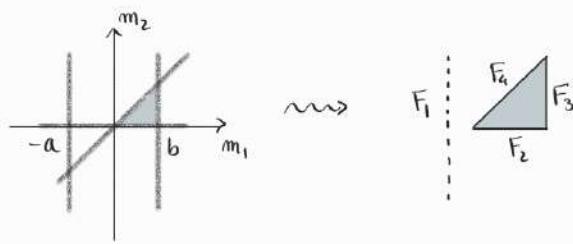
$$\begin{aligned} P_{D_{a,b}} &= \{m \in M_{\mathbb{R}} \mid \langle m, u_{F_1} \rangle \geq -a, \langle m, u_{F_2} \rangle \geq 0, \langle m, u_{F_3} \rangle \geq -b, \langle m, u_{F_4} \rangle \geq 0\} = \\ &= \{m \in M_{\mathbb{R}} \mid m_1 \geq -a, m_2 \geq 0, m_3 \geq -b, m_4 \geq 0\}. \end{aligned}$$

When intersecting with  $M'$ , we have  $m_3 = -m_1$  and  $m_4 = m_1 - m_2$ . By taking coordinates  $(m_1, m_2)$  on  $M'$ , we see that

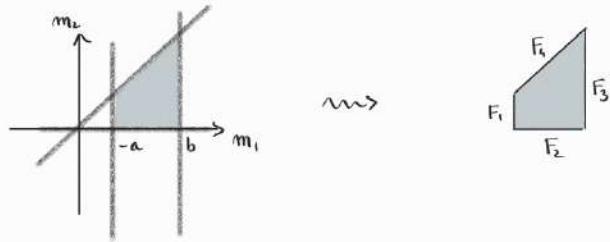
$$P_{D_{a,b}} \cap M' = \{(m_1, m_2) \in M' \mid m_1 \geq -a, m_2 \geq 0, m_1 \leq b, m_1 \geq m_2\}$$

We draw all possibilities: (with virtual facets)

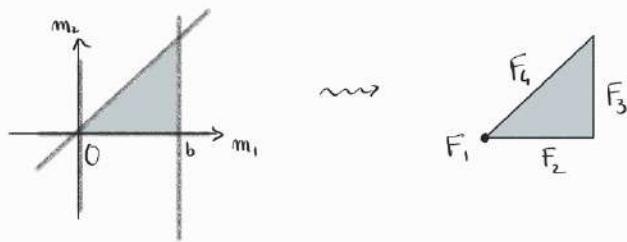
$a > 0, b > 0 :$



$a < 0, b > 0$



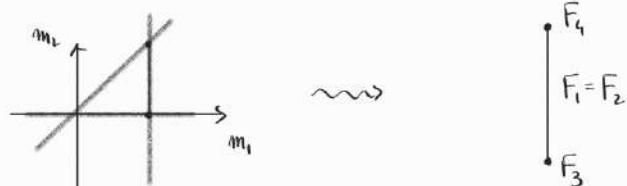
$a = 0, b > 0$



$b = 0, a > 0$

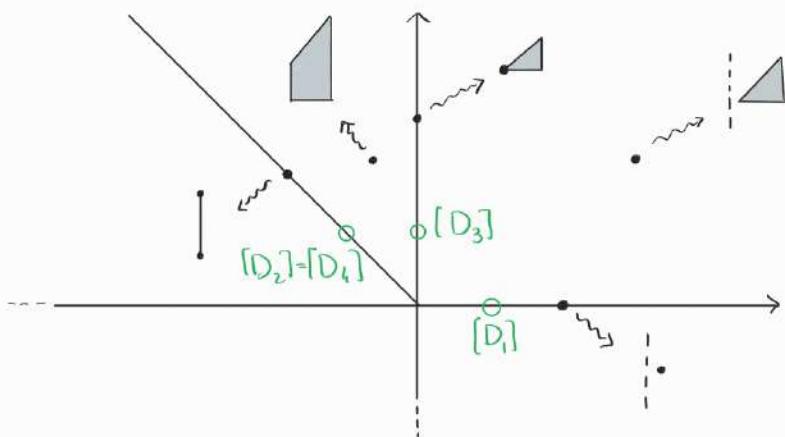


$b = -a$



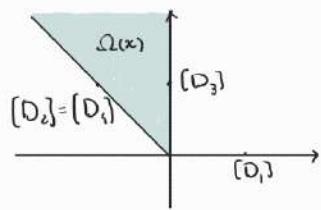
For all other choices of  $a$  and  $b$ , we obtain an empty quotient.

The overall picture of  $\text{NS}^G(X)$  is:

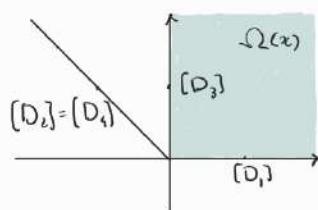


We may now describe  $\Omega(x)$  for  $x \in X$  by the description we have given above.

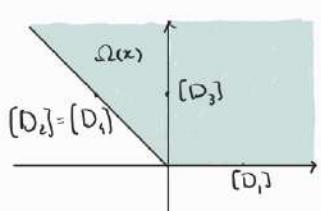
$x \in D_1$ :



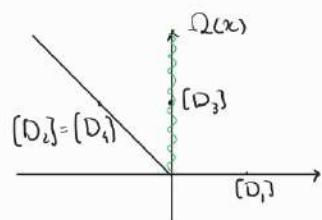
$x \in D_2 \cup D_4$ :



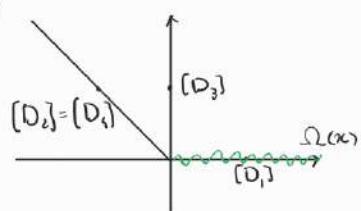
$x \in D_3$ :



$x \in D_1 \cap (D_2 \cup D_4)$



$x \in D_3 \cap (D_2 \cup D_4)$



$x \in D_1 \cap D_3$

